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(54) Title: METHODS OF TREATING CLINICAL DISEASES WITH ISOFLAVONES			
(57) Abstract  The present invention provides a method of treating or preventing certain medical conditions with isoflavones. More specifically, the invention provides a method of treating or preventing one or more of the following medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, with compositions containing isoflavones.			

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## Methods of Treating Clinical Diseases with Isoflavones

### *Background of the Invention*

#### 5 *Field of the Invention*

The present invention relates, in general, to a method for treating or preventing certain medical clinical conditions with isoflavones. In particular, the present invention relates to a method of treating or preventing one or more of the following medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, with compositions containing isoflavones.

#### 15 *Related Art*

Dietary soy protein has been shown to be hypocholesterolemic in human and animal studies (Carroll, K. K. and Kurowska, E.M., *J. Nutr.* 125:594S-597S (1995)). In humans, the cholesterol-lowering effect has been observed primarily in persons that are hypercholesterolemic prior to dietary intervention (Anderson, J.W., *et al.*, *N. Engl. J. Med.* 333:276-282 (1995)). Soy protein diets have been particularly beneficial in the treatment of type II hyperlipoproteinemia (Sirtori, C. R., *et al.*, *Lancet* 1:275-277 (1977); Sirtori, C. R., *et al.*, *J. Nutr.* 125:598S-605S (1995)), which is characterized by elevated plasma LDL cholesterol (type IIa) or plasma LDL and VLDL cholesterol and triglyceride (type IIb). Hyperlipidemia is associated with the development of atherosclerosis, cardiovascular disease (CVD), and non-insulin dependent diabetes mellitus (NIDDM) (Despres, J.-P., *et al.*, *Arteriosclerosis* 10:497-511 (1990)). Therefore, a hypocholesterolemic effect of soy protein can lower CVD and NIDDM risk.

Hypotheses have been proposed for mechanisms responsible for the cholesterol-lowering effect of soy protein (Anthony, M.S., *et al.*, *Am. J. Clin. Nutr.* 68:1390S-1393S (1998); Potter, S. M., *Nutr. Rev.* 56:231-235 (1998); Sirtori, C. R., *et al.*, *Nutr. Metab. Cardiovasc. Dis.* 8:334-340 (1998)). The soy

protein amino acid composition, specific soy peptides and globulins, and the isoflavones and saponins associated with soy protein all have been suggested as factors participating in the hypocholesterolemic response. The liver centrally regulates whole-body cholesterol excretion through the production and secretion of bile. Therefore, a mechanism responsible for the hypocholesterolemic effect of soy protein likely includes normalization of aberrant hepatic cholesterol and bile acid metabolism. The liver also centrally regulates plasma cholesterol and triglyceride concentrations through production, secretion, and catabolism of apolipoprotein B (apoB). Furthermore, visceral obesity and elevated portal-hepatic free fatty acid flux induces hepatic steatosis and elevates the production of triglyceride-rich apoB-lipoproteins (Despres, J.-P., *et al.*, *Arteriosclerosis* 10:497-511 (1990)). Therefore, a mechanism responsible for the hypocholesterolemic effect of soy protein can also include normalization of aberrant hepatic fatty acid and triglyceride metabolism.

Obese (*fa/fa*) Zucker rats are hyperinsulinemic, hyperlipoproteinemic, and develop hepatic steatosis within a few weeks after birth (Krief, S. and Bazin, R., *Proc. Soc. Exp. Biol. Med.* 198:528-538 (1991)). This rat can be used as a model system for symptoms associated with the development of CVD and NIDDM (St. John, L. C. and Bell, F. P., *Atherosclerosis* 86:139-144 (1991); Kasiske, B.L., *et al.*, *Hypertension (Suppl. 1)* 19:1110-1115 (1992)). Markedly elevated pancreatic insulin secretion suppresses hepatic fatty acid catabolism and stimulates hepatic lipogenesis and fatty acid esterification. Elevated triglyceride and cholesteryl ester availability up-regulates secretion of apoB-lipoproteins and induces lipid storage in cytosolic droplets (Fukuda, N., *et al.*, *J. Biol. Chem.* 257:14066-14072 (1982)). Furthermore, there is an absence of the feeding-induced diurnal rise-and-fall of hepatic cholesterologenesis in adult male obese rats (Lin, R.C., *Metabolism* 34:19-24 (1985)), and fecal neutral sterols are 50% lower in obese rats than in lean rats (McNamara, D.J., *Metabolism* 34:130-135 (1985)). Expression of the hepatic LDL receptor is 60% lower in obese rats than in lean rats, without a difference in LDL receptor mRNA (Liao, W., *et al.*,

*Endocrinology* 138:3276-3282 (1997)). The diurnal rhythm of hepatic cholesterol 7 $\alpha$ -hydroxylase has also been shown to be absent in obese rats (Tang, P.M., *et al.*, *Biochem. Biophys. Res. Commun.* 150:853-858 (1988)).

Blood platelets also play an integral role in the development of CVD (Ross, R., *N. Engl. J. Med.* 314:488-500 (1986)). Arterial cholesterol deposition and blood platelet sensitivity are elevated by plasma LDL and lowered by plasma HDL (Miller, N. E., *et al.*, *Clin. Res.* 282:1741-1744 (1981), Surya, I. I. and Akkerman, W. N., *Heart J.* 125:272-275 (1993)). The variation in platelet sensitivity found among species has been shown to correlate directly with susceptibility of the species to CVD (Hayes, K.C. and Pronczuk, A., *Comp. Biochem. Physiol.* 113:349-353 (1996)). Furthermore, the plasma LDL cholesterol-to-HDL cholesterol ratio has been found to vary directly with platelet sensitivity both across and within species. Platelet activation is accompanied by release of compounds from intraplatelet granules that promote atherosclerotic lesion formation (Ross, R., *et al.*, *Circulation (Suppl III)* 70:77-82 (1984)). For example, platelet-derived growth factor stimulates vascular smooth muscle cell migration and proliferation in the arterial intima. Activated platelets also release 5-hydroxytryptamine (5HT), commonly known as serotonin, which plays a role in the pathophysiology of essential hypertension (Nityanand, S., *et al.*, *Life Sci.* 46:367-372 (1990)). Dietary soy protein rich in isoflavones has been shown to reduce atherosclerotic lesion development in male cynomolgus monkeys fed an atherogenic diet (Anthony, M.S., *et al.*, *Arterioscler. Thromb. Vasc. Biol.* 17:2524-2531 (1997)). An inhibitory effect of isoflavone-rich soy protein on platelet aggregability has been reported in female rhesus monkeys (Williams, J. K. and Clarkson, T. B., *Coronary Artery Dis.* 9:759-764 (1998)). These effects of isoflavone-rich soy protein can result in part from a reduction in the plasma LDL cholesterol-to-HDL cholesterol ratio. Another study has shown rapid inhibition of vasoconstriction in stenotic arteries of female macaques after intravenous infusion of the soy isoflavone genistein (Honore, E. K., *et al.*, *Fertil. Steril.* 67:148-154 (1997)). Improved systemic arterial compliance has also been

shown after dietary isoflavone supplementation in menopausal women (Nestel, P. J., *et al.*, *Arterioscler. Thromb. Vase. Biol.* 17:3392-3398 (1997)).

### ***Summary of the Invention***

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The present invention provides a method of preventing or treating the symptoms of one or more of the following clinical medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity. This method comprises administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid.

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The present invention further provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with soy protein.

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The present invention also provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with dietary ingredients or supplements other than soy protein.

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The present invention further provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein.

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The present invention also provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which

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is contained in an isoflavone-enriched fraction of soy protein in combination with soy protein.

The present invention further provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein in combination with dietary ingredients or supplements other than soy protein.

Further objects, advantages, and novel features of the present invention will become apparent from the following detailed description of the invention.

### ***Brief Description of the Figures***

Figure 1A-F show a series of bar graphs depicting liver cholesterol and triglyceride concentrations in male lean and obese Zucker rats fed casein (C), isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 70 days.

Figure 2 shows a bar graph depicting the plasma total cholesterol concentration in male lean and obese Zucker rats fed casein, isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 70 days.

Figure 3A-B shows two bar graphs depicting serotonin (5HT) secretion from unstimulated and thrombin-stimulated platelets isolated from male lean and obese Zucker rats fed casein, isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 70 days.

Figure 4A-B shows two bar graphs depicting serotonin (5HT) secretion from unstimulated and thrombin-stimulated platelets isolated from male Sprague-Dawley rats fed casein, isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 42 days.

Figure 5A-B shows two bar graphs depicting serotonin (5HT) secretion from unstimulated and thrombin-stimulated platelets isolated from male

Sprague-Dawley rats fed control or atherogenic diets with or without soy isoflavones for 63 days.

Figure 6 is a bar graph depicting plasma total cholesterol concentration in male Sprague-Dawley rats injected with vehicle control, genistein, daidzein, or estradiol for 6 weeks.

Figure 7 is a bar graph depicting plasma fasting glucose levels in male Sprague-Dawley rats injected with vehicle control, genistein, daidzein, or estradiol for 6 weeks.

Figure 8 is a bar graph depicting liver cellular antioxidant levels of superoxide dismutase (SOD) and catalase (CAT) in male Sprague-Dawley rats injected with vehicle control, genistein, daidzein, or estradiol for 6 weeks.

Figure 9 is a bar graph depicting insulin to glucose ratio in male and female Sprague-Dawley rats fed high-isoflavone soy protein (HS), low-isoflavone soy protein (LS), or non-soy (casein) protein (C) for 6 weeks.

Figure 10 is a graph depicting glucose tolerance test (GTT) in female obese Zucker rats.

Figure 11 is a bar graph depicting liver weight in female obese Zucker rats.

Figure 12 is a bar graph depicting liver cholesterol in female obese Zucker rats.

Figure 13 is a bar graph depicting liver triglycerides in female obese Zucker rats.

Figure 14 is a bar graph depicting plasma total cholesterol in female obese Zucker rats.



### ***Detailed Description of the Preferred Embodiments***

In the following description, reference will be made to various terms and methodologies known to those of skill in the biochemical and pharmacological arts. Publications and other materials setting forth such known terms and methodologies are incorporated herein by reference in their entireties as though set forth in full.

The present invention relates to a method of preventing or treating the symptoms of one or more of the following clinical medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity. This method comprises administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid.

In a preferred embodiment the present invention provides a method for preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with soy protein.

In another embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with dietary ingredients or supplements other than soy protein.

In another embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein.

In another preferred embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein in combination with soy protein.

In another embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein in combination with dietary ingredients or supplements other than soy protein.

The following definitions and descriptions are provided to clarify the subject matter which the inventors consider to be the present invention.

As used herein, the term "hepatic steatosis" refers to lipid accumulation in hepatocyte cytoplasm.

As used herein, the term "steatohepatitis" refers to an inflammatory condition of the liver in which the hepatocytes demonstrate steatosis.

As used herein, the term "insulin resistance" refers to a condition in which the pancreas produces sufficient amounts of the hormone, but cells become desensitized to insulin's action and absorb it more slowly than normal, causing sugar (glucose) and insulin to accumulate in the blood.

As used herein, the term "Syndrome X" refers to a medical clinical condition which is characterized centralized obesity, high blood pressure, insulin resistance, increased LDL-cholesterol, decreased HDL-cholesterol, and elevated plasma insulin.

Isoflavones are a unique class of plant flavonoids that have a limited distribution in the plant kingdom and can be physically described as colorless, crystalline ketones. The most common isoflavone compounds are the conjugate

, glucoside, and aglucone forms. The most common and important dietary source of these isoflavones are soybeans. In the present invention, the isoflavones are preferably selected from the following group: genistein, genistin, 6"-0-malonylgenistin, 6"-0-acetylgenistin; daidzein, daidzin, 6"-0-malonyldaidzin, 6"-0-acetylgenistin; glycitein, glycitin, 6"-0-malonylglycitin, 6"-0-acetylglycitin. Ninety-seven to ninety-eight percent of the soybean isoflavones are in the glycosylated form.

As used herein, the term "soy protein" refers either to "soy protein isolate" or "soy protein concentrate".

As used herein, the term "soy protein isolate" is equivalent to "isolated soy protein" and the same as "high-isoflavone soy protein" or "isoflavone-rich soy protein". This is soy protein that is isolated from soybeans by procedures that favor the adsorption of isoflavones (and other soybean constituents such as saponins) to the globular soybean proteins during the isolation process. This is one of the two types of soybean protein preparations used herein and referred to as a component of the "HS diet" or "HI diet", which is defined as the "high-isoflavone soy protein diet".

As used herein, the term "soy protein concentrate" refers to soy protein that is isolated from soybeans without accompanying isoflavones. "Soy protein concentrate" tends to be lower in total protein concentration than soy protein isolate.

As used herein, the term "low-isoflavone soy protein" is equivalent to "alcohol-washed soy protein" or "isoflavone depleted soy protein. The starting material is soy protein isolate. The soy protein isolate is then washed typically with an aqueous-ethanol mixture to remove adsorbed material, which includes isoflavones, saponins, and possibly other unidentified components of biological significance. This is the second of the two types of soy protein preparations used herein and referred to as a component of the "LS diet" or "LI diet", which is defined as the "low isoflavone soy protein diet".

As used herein, the term "isoflavone-enriched fraction of soy protein" consists of material that is removed from soy protein by washing the protein as described above. The isoflavones in the aqueous-ethanol extract are further purified to obtain a higher isoflavone concentration. This product can contain saponins and other biologically active material.

In one embodiment, the overall therapeutic benefit of high-isoflavone soy protein to reduce hepatic steatosis, insulin resistance, and platelet sensitivity is dependent on the protein component or another dietary ingredient(s) or supplement(s) other than soy protein that "mimics" the physiological action of soy protein in the intestinal tract to modulate cholesterol and bile acid absorption.

Various naturally-occurring and synthetic dietary ingredients and compounds have been shown to modulate intestinal lipid digestion and absorption. For example, the intestinal bile acid-binding resin, cholestyramine, potentiates the effects of soy isoflavones in the liver to produce therapeutic benefits. Methyl- $\beta$ -cyclodextrin or hydroxypropyl- $\beta$ -cyclodextrin which can form inclusion complexes with cholesterol and bile acids in the intestine, can be used in combination with isoflavones. Another possible embodiment is to use a dietary fiber source rich in silica polymers such as soy, rice, or oat hulls to modulate intestinal steroid homeostasis. Cooperativity between the liver and the intestinal tract is also achieved through combination of soy isoflavones or the isoflavone-enriched fraction of soy protein with classical sources of soluble dietary fiber (including pectin,  $\beta$ -glucan, oat bran, rice bran, psyllium fiber, and any other unspecified soluble cereal, vegetable, or fruit fiber preparations).

In another embodiment, fermentable soluble dietary fiber affects isoflavone bioavailability. Most isoflavones are present in soybeans as glycosylated isoflavone conjugates, and specific fiber types select for intestinal bacteria that produce enzymes required to produce the biologically-active aglycone forms.

The use of isoflavones, either singly or in combination with other soy proteins, dietary ingredients, or supplements to treat or prevent hepatic steatosis,

steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity provides distinct advantages over currently administered pharmaceutical therapies. First, the present invention is likely to minimize the drug-related side effects that typically lead to non-compliance or untimely termination of treatment. Second, the use of naturally-occurring isoflavones overcomes some of the long term health concerns associated with current therapies which, in turn, increases the likelihood that individuals will remain on extended therapeutic regimens for sustained prevention of recurrent disease.

The term "therapeutically effective dosage" as used in the present invention is defined as the dosage which provides effective treatment or prevention of the above described conditions and/or diseases in both humans and animals.

In the treatment and prevention of hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, isoflavones, are administered in therapeutically effective dosages to subjects (humans or animals) diagnosed with one or more of the aforementioned clinical medical conditions or experiencing symptoms typically associated with them. The preventive aspect of the present invention involves administering therapeutically effective dosages of isoflavones to subjects at risk of developing one or more of the aforementioned clinical medical conditions. Other groups of subjects that are susceptible to the risk of developing will be apparent to those skilled in the art.

The metabolic products of the isoflavones contemplated for use in the present invention include equol and conjugates of genistein, daidzein, and other isoflavones. It is further contemplated that any derivative of other phytoestrogens including coumestans and lignans or conjugates thereof can be used in treating or preventing the conditions and/or diseases described hereinabove.

The isoflavone compounds can be naturally occurring substances which can be found in plants such as legumes, clover, and the root of the kudzu vine

(pueraria root). Common legume sources of these isoflavone compounds include soy beans, chick peas, and various other types of beans and peas. Clover sources of these isoflavone compounds include red clover and subterranean clover. Soy beans are a particularly preferred source of the isoflavone compounds (except biochanin A which is not present in soy).

Isoflavones can be isolated from the plant sources in which they naturally occur, and several isoflavones can be synthetically prepared by processes known in the art. For example, daidzein can be isolated from red clover as disclosed by Wong *J. Sci. Food Agr.* 13:304 (1962)) or can be isolated from the mold *Micromonospora halophytica* as provided by Ganguly and Sarre (*Chem. & Ind* (London), p. 201 (1970)), both references of which are incorporated by reference herein. Daidzein can be synthetically prepared by the methods provided by Baker *et al.*, (*J. Chem. Soc.* p. 274 (1933)), Wesley *et al.*, *Ber.* 66: 685 (1933)), Mahal *et al.*, *J. Chem. Soc.*, p. 1769 (1934)), Baker *et al.*, *J. Chem. Soc.* p. 1852 (1953)), or Farkas *Ber.* 90:2940 (1957)), each reference of which is incorporated herein by reference. Daidzin can be synthetically prepared by the method of Farkas *et al.* *Ber.*, 92: 819 (1959)), incorporated herein by reference. The daidzein isoflavone conjugates 6'-OMal daidzin and 6'-OAc daidzin can be prepared by a conventional esterification of daidzin with a malonyl or an acetyl anhydride, respectively.

Genistein can be synthetically prepared by the methods provided by Baker *et al.* (*J. Chem. Soc.*, p. 3115 (1928)); Narasimhachari *et al.* (*J. Sci. Ind. Res.*, Vol. 12, p. 287 (1953)); Yoder *et al.*, (*Proc. Iowa Acad. Sci.*, Vol. 61, p. 271 (1954); and Zemplen *et al.* (*Acta. Chim. Acad. Sci. Hung.*, Vol. 19, p. 277 (1959)), each reference of which is incorporated herein by reference. Genistin can be synthetically prepared by the method of Zemplen *et al.* (*Ber.*, Vol 76B, p. 1110 (1943)), incorporated herein by reference. The isoflavone conjugates of genistin, 6'-OMal genistin and 6'-OAc genistin, can be prepared by a conventional esterification of genistin with a malonyl or an acetyl anhydride, respectively.

Biochanin A can be synthetically prepared by the method provided by Baker et al. (Nature 169:706 (1952)), incorporated herein by reference. Biochanin A can also be separated from red clover by the method provided by Pope et al. (Chem. & Ind. (London) p. 1092 (1953)), incorporated herein by reference.

5 Fornononetin can be synthetically prepared by the methods disclosed by Wessely et al. (Ber. 66:685 (1933)) and Kagel et al. (Tetrahedron Letters, p. 593 (1962)), both references of which are incorporated herein by reference. Fornononetin can be isolated from soybean meal by the method of Walz (Ann. 489:118 (1931)) or can be isolated from clover species by the method of Bradbury et al. (J. Chem.

10 Soc. p. 3447 (1951)), both references of which are incorporated herein by reference.

A preferred method of isolating isoflavones from plant materials in which they naturally occur is to extract the plant materials with an alcohol, preferably methanol or ethanol, or an aqueous solution, preferably an aqueous alkaline

15 solution, to remove the isoflavones from the plant material. It is preferred to comminute the plant material before extracting the isoflavones to maximize recovery of the isoflavone compounds from the plant material. The isoflavones can be isolated from the extract by conventional separation procedures such as reverse phase high performance liquid chromatography ("HPLC").

20 In a preferred embodiment, the isoflavones: genistein, genistin, 6'-OMal genistin, 6'-OAc genistin, daidzein, daidzin, 6'-OMal daidzin, 6'-OAc daidzin, glycitein, glycitin, and 6'-OMal glycitin are isolated from a soy material, preferably a commercially available soy material. Soy materials from which the isoflavones can be isolated include: soy beans, dehulled soy beans, soy meal, soy

25 flour, soy grits, soy flakes (full fat and defatted), soy cotyledons, soy molasses, soy protein concentrate, soy whey, soy whey protein, and soy protein isolate. In one embodiment, the isoflavones are extracted from soy beans, dehulled soy beans, soy meal, soy flour, soy grits, soy flakes, soy protein concentrate, soy whey protein, or soy protein isolate, preferably soy meal, soy flour, soy grits, or

30 soy flakes, with a low molecular weight organic extractant, preferably an alcohol,

ethyl acetate, acetone, or ether, and most preferably aqueous ethyl alcohol or methyl alcohol. Most preferably the extractant has a pH of about the isoelectric point of soy protein (about pH 4 to pH 5) to minimize the amount of soy protein extracted by the extractant.

5           A soy protein material for use in accordance with the method of the present invention is a whole soybean seed, or soy protein derivatives that can be formed from whole soybeans. Soy protein derivatives of whole soybeans include fat-containing or defatted: soy protein isolates, soy protein concentrates, soy  
10           flours, and soy meals which are formed in accordance with conventional methods for forming such materials. Soy protein derivatives of whole soybeans also include peptide materials which are formed by hydrolyzing soy protein containing materials in accordance with conventional methods for hydrolyzing soy protein materials, such as enzymatic or acid hydrolysis.

15           In a preferred embodiment, the soy protein material used in the method of the invention is a soy protein isolate. To form the isoflavone containing soy protein isolate, a commercially available defatted soy flake material is extracted with an aqueous alkaline solution, typically a calcium hydroxide or a sodium hydroxide solution having a pH of about 6 to about 10, to form an extract containing isoflavones, protein, and other water soluble components of the soy  
20           flake material. The extract is separated from insoluble soy materials and then is treated with an acid to lower the pH of the extract to about the isoelectric point of the protein, preferably to a pH of about 4 to about 5, and most preferably to a pH of about 4.4 to about 4.6, thereby precipitating a protein curd which captures significant amounts of the isoflavones as a result of hydrogen bonding between  
25           the protein and the isoflavones. Preferably the conjugate and glucoside isoflavones are converted to aglucone isoflavones in the extract as described above to increase the amount of aglucone isoflavones captured in the protein curd. The protein curd is then separated from the extract, preferably by centrifugation, and dried to form the protein isolate.



In another preferred embodiment, the soy protein material used in the method of the invention is a soy protein concentrate. To form the isoflavone containing soy protein concentrate, a commercially available defatted soy flake material is washed with an alcohol, preferably an aqueous alcohol such as 80% ethanol or 80% methanol, or an aqueous solution having a pH equivalent to the isoelectric point of soy protein, about pH 4.4 to about 4.6. The wash is separated from the protein material, leaving the soy protein concentrate.

The extractant containing the isoflavones is separated from the insoluble soy materials to form an isoflavone enriched extract. If desired, an isoflavone enriched material can be recovered by concentrating the extract to remove the solvent thereby producing a solid isoflavone enriched material. In another embodiment additional extract is added to the alcohol-washed soy protein (soy protein concentrate) to increase the amount of isoflavones in the protein concentrate.

In another preferred embodiment the isoflavones are further purified from other soy materials soluble in the extract by contacting the extract with a material which adsorbs the isoflavones in the extract, and eluting the adsorbed isoflavones out of the adsorbent material with a solvent which causes the isoflavones to be differentially eluted from the adsorbent material.

In another preferred embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavone-enriched fraction component selected from the group consisting of a saponin, a lecithin, a phenolic acid, a trypsin inhibitor, a phytosterol, a peptide, and an oligosaccharide.

Having now fully described the invention, it will be understood to those of ordinary skill in the art that the same can be performed within a wide and equivalent range of conditions, formulations, and other parameters without

affecting the scope of the invention or any embodiment thereof. All patents and publications cited herein are fully incorporated by reference herein in the entirety.

The following examples are illustrative, but not limiting, of the method and compositions of the present invention. Other suitable modifications and adaptations of the variety of conditions and parameters normally encountered and obvious to those skilled in the art are within the spirit and scope of the invention.

### ***Example I***

#### ***Experimental Effects of Isoflavone-poor and Isoflavone-rich Soy Protein on Hepatic Lipids and Blood Platelet Activation Sensitivity in Male Lean and Obese Zucker Rats***

**Animals and diets.** Male Zucker obese (*fa/fa*) rats and their lean (*Fa/Fa* or *Fa/fa*) littermates (8 wk old,  $n = 3$  or  $n = 4$  lean per diet group, and  $n = 5$  obese per diet group, from Harlan Sprague-Dawley, Inc., Indianapolis, IN) were fed diets containing either casein (C diet), low-isoflavone soy protein isolate (LS diet), or high-isoflavone soy protein isolate (HS diet) as the protein source for 70 d. The basal (C) diet (Table 1) is a modified AIN-76 semi-purified diet for laboratory rodents. Sucrose was decreased from 500 g/kg diet (AIN-76 diet) to 300 g/kg diet, and cornstarch was increased from 150 g/kg diet (AIN-76 diet) to 350 g/kg diet. The net protein content of all 3 diets was 174 g/kg diet, and protein source (casein, low-isoflavone soy protein isolate, or high-isoflavone soy protein isolate) was the only dietary variable. Total isoflavone concentrations of the LS and HS diets were 3.8 mg/100 g diet and 57.8 mg/100 g diet, respectively. The individual isoflavone concentrations as genistein-, daidzein-, and glycitein-containing compounds (aglycones + glycosides + glycoside esters) were 2.4, 1.2, and 0.2 mg/100 g diet (LS diet) and 37.0, 17.9, and 2.9 mg/100 g diet (HS diet), respectively.

At the end of the 70 d experimental period, rats were deprived of food for 12 h, and then anaesthetized with an intraperitoneal injection of sodium pentobarbital (5 mg/100g body wt). Blood was drawn from anaesthetized rats for platelet isolation by cardiac puncture into syringes pre-loaded with 10% of the expected blood volume as 4% sodium citrate. Livers were excised and frozen at -80°C for cholesterol and triglyceride analysis. Platelets were isolated from platelet-rich plasma, and platelet activation sensitivity was estimated *in vitro* in resting and thrombin-stimulated platelets using the amount of serotonin (5HT) secreted (% initial platelet 5HT) as the dependent variable.

**Liver cholesterol and triglyceride analysis.** Portions of frozen-thawed livers were extracted with chloroform: methanol (2:1) essentially by the method of Folch, J., *et al.*, *J. Biol. Chem.* 226:497-509 (1957). For cholesterol analysis, aliquots of lipid extracts (5-50  $\mu$ L) were mixed with 50  $\mu$ L of 15% Triton X-100 in acetone, and solvents were evaporated under vacuum. Total and unesterified cholesterol concentrations were determined colorimetrically by an enzymatic procedure (Allain, C. C., *et al.*, *Clin. Chem.* 20:470-475 (1974)), and cholesteryl ester was calculated by difference. Liver triglycerides were quantified (with a triolein standard) by a procedure utilizing the Hantzsch reaction (Fletcher, M. J., *Clin. Chim. Acta* 22:393-397 (1968)).

**Plasma cholesterol analysis.** Total cholesterol was measured in platelet-rich plasma using an enzymatic procedure (Allain, C. C., *et al.*, *Clin. Chem.* 20:470-475 (1974)).

**Table 1**  
**Diet Composition<sup>1</sup>**

Ingredient	Diet groups		
	Control (C)	Low-isoflavone soy protein (LS)	High-isoflavone soy protein (HS)
	<i>g/kg diet</i>		
Casein <sup>2</sup>	200		
Soy protein <sup>3</sup>		200	200
Cornstarch	350	350	350
Sucrose	300	300	300
Corn oil	50	50	50
Cellulose	50	50	50
Vitamin mix <sup>4</sup>	10	10	10
Mineral mix <sup>4</sup>	35	35	35
Choline chloride	2	2	2
DL-Methionine	3	3	3
$\alpha$ -Tocopherol	1.2	1.2	1.2

<sup>1</sup> Total energy content = 15.67 MJ/kg diet. Macronutrient distribution (% metabolizable energy, based on energy contents of 16.7 kJ/g protein, 37.6 kJ/g fat, and 16.7 kJ/g available carbohydrate, Wisker and Feldheim 1990): protein (18.6%), carbohydrate (69.4%), fat (12.0%).

<sup>2</sup> Casein, purified high nitrogen, 87% protein (ICN Biomedicals, Costa Mesa, CA).

<sup>3</sup> Soy protein isolates (Protein Technologies International, St. Louis, MO). Low-isoflavone soy protein (LS diet, Product FXP-H-0088), 86.4% protein, 3.5% fat, 4.4% ash, total isoflavones (0.2 mg/g product). High-isoflavone soy protein (HS diet, Product FXP-H-0086), 87% protein, 4.8% fat, 4.2% ash; total isoflavones (2.89 mg/g product).

<sup>4</sup> Vitamin and mineral mixes, AIN-76 (ICN Biomedicals, Costa Mesa, CA)

#### **Platelet isolation and measurement of platelet activation sensitivity.**

Anticoagulated blood (8-9 mL) was mixed with 1.5 mL pH 7.4 buffered saline glucose-citrate (BSG-C), and platelet-rich plasma (PRP) was obtained by centrifugation at 850 x g for 5 min at 22°C. The PRP was centrifuged through a two-step CellSep Platelets (Cardinal Associates, Santa Fe, NM) gradient at 1450 x g for 20 min at 22°C. Isolated platelets were removed from the gradient interface, washed with BSG-C, and re-suspended in pH 7.6 Tyrode's buffer at a concentration of  $2-4 \times 10^8$  cells per mL. Aliquots of suspended platelets were equilibrated at 22°C for 3 h, and then -treated either with or without thrombin

(0.15 U/mL) for 3 min. Thrombin-stimulated and unstimulated platelets were pelleted, and supernatants were immediately removed and frozen at -80°C. Platelet pellets were dissolved in pH 7.5 lysis buffer, and protein was quantified essentially by the method of Lowry, O. H., *et al.*, *J. Biol Chem.* 193:265-275 (1951) using Sigma Assay Kit no. P5656 and BSA as a standard. Aliquots of thrombin-stimulated and unstimulated platelet lysates and supernatants were diluted with 0.32 M perchloric acid containing methyl 5-hydroxytryptamine (methylserotonin) as an internal standard. Serotonin was quantified using reverse-phase HPLC. The initial platelet serotonin content was computed by adding the amount of serotonin in each platelet lysate to the amount of serotonin secreted from each suspended platelet sample. Serotonin secreted from unstimulated and thrombin-stimulated platelet samples is expressed as a percentage of the initial platelet serotonin content (% initial platelet 5HT).

**Statistical analysis.** Body weights, weight gain, energy intake, and energy efficiency ratio were analyzed for the main and interaction effects of diet group (C, LS, and HS) and phenotype (lean, obese), using a two-way ANOVA. Liver cholesterol and triglyceride and plasma cholesterol concentrations were similarly analyzed, and results provided in Figures 1 and 2 are given as diet group means  $\pm$  SEM. Platelet data was analyzed for the main and interaction effects of diet group (C, LS, and HS) and phenotype (lean, obese) using either two-way ANOVA (for platelet protein and initial platelet 5HT) or two-way ANCOVA with platelet protein as a covariate (for unstimulated 5HT secretion and thrombin-stimulated 5HT secretion). Results provided in Figure 3 are given as diet group least-squares means  $\pm$  SEM. Platelet data was also analyzed for the effects of diet group (C, LS, and HS), independent of phenotype, using either a one-way ANOVA or a one-way ANCOVA as described above.

**Results.** Initial and final body weights averaged 183 g and 408 g, respectively in lean rats and 242 g and 584 g, respectively in obese rats. This corresponded to an average weight gain of 3.2 g/d in lean rats and 4.9 g/d in obese rats. Energy intake and energy efficiency ratio averaged 360 kJ/d and 9.0

g/MJ, respectively in lean rats and 441 kJ/d and 11.1 g/MJ, respectively in obese rats. The effect of phenotype was significant ( $P < 0.001$ ), but the effect of diet group was not significant ( $P > 0.05$ ) for each variable.

Liver weight (Fig. 1A) in obese rats was reduced by soy protein from 27.2 g (C diet) to 20.4 g (LS diet) to 15.5 g (HS diet). This corresponded to a reduction in relative liver weight (Fig. 1B) from 4.9 g/100 g body wt (C diet) to 3.6 g/100 g body wt (LS diet) to 2.7 g/100 g body wt (HS diet). Liver triglycerides (Fig. 1C) were lowered in lean rats by both soy protein diets from 67  $\mu\text{mol/g}$  liver (C diet) to 34-36  $\mu\text{mol/g}$  liver (LS and HS diets), as well as in obese rats from 279  $\mu\text{mol/g}$  liver (C diet) to 187  $\mu\text{mol/g}$  liver (LS diet) to 142  $\mu\text{mol/g}$  liver (HS diet). Liver total cholesterol (Fig. 1D) was lowered from 8.9  $\mu\text{mol/g}$  liver and 11.8  $\mu\text{mol/g}$  liver in lean and obese rats (C diet), respectively, to 5.8-6.1  $\mu\text{mol/g}$  liver (HS diet). Although a consistent effect of soy protein on liver unesterified cholesterol (Fig. 1E) was not observed, liver cholesteryl ester (Fig. 1F) was dramatically reduced from control values (C diet) by the HS diet, in both lean and obese rats, by 88% and 77%, respectively. The LS diet produced an intermediate lowering of liver cholesteryl ester and total cholesterol in lean and obese animals. Plasma total cholesterol (Fig. 2) was not affected by diet in lean rats; however, plasma cholesterol was decreased in obese rats from 6.3 mmol/L (C diet) to 5.0 mmol/L (LS diet) to 4.5 mmol/L (HS diet).

Platelet protein concentration averaged 165  $\mu\text{g/sample}$  in lean and obese rats, independent of diet group or phenotype. Initial platelet 5HT (serotonin) concentration tended to be higher ( $P = 0.07$ ) in obese rats (2.5 ng/ $\mu\text{g}$  protein) than in lean rats (2.1 ng/ $\mu\text{g}$  protein), independent of diet group. There was not a significant effect of diet group or phenotype on either unstimulated 5HT secretion (Fig. 3A) or thrombin-stimulated 5HT secretion (Fig. 3B); although, unstimulated 5HT secretion tended to be higher ( $P = 0.1$ ) in obese rats (5.0%) than in lean rats (3.9%). Furthermore, there was a significant reduction (one-way ANCOVA,  $P = 0.04$ ) in unstimulated 5HT secretion from 5.6% (C diet) to 4.1% (HS diet), as well as a trend for a reduction ( $P = 0.06$ ) to 4.3% by the LS diet.

## ***Example II***

### ***Experimental Effects of Isoflavone-poor and Isoflavone-rich Soy Protein on Hepatic Lipids and Blood Platelet Activation Sensitivity in Male Sprague-Dawley Rats***

**Animals, diets, and analytical procedures.** Male Sprague-Dawley rats (6 wk old, n = 10 per diet group, from Harlan Sprague-Dawley, Inc., Indianapolis, IN) were fed diets containing either casein (C diet), low-isoflavone soy protein isolate (LS diet), or high-isoflavone soy protein isolate (HS diet) as the protein source for 42 d. Diets were identical to those listed in Table 1 (Example I). Analytical procedures for determination of liver cholesterol and triglyceride concentrations, plasma total cholesterol concentration, and platelet activation sensitivity were as described in Section I.

**Statistical analysis.** Body weights, weight gain, energy intake, and energy efficiency ratio were analyzed for the effect of diet group (C, LS, and HS), using a one-way ANOVA. Liver cholesterol and triglyceride concentrations and plasma total cholesterol concentration were analyzed similarly (Table 2). Platelet data was analyzed for the effect of diet group, using either one-way ANOVA (for platelet protein and initial platelet 5HT) or one-way ANCOVA with platelet protein as a covariate (for unstimulated 5HT secretion and thrombin-stimulated 5HT secretion). Results provided in Figure 4 are given as diet group least-squares means  $\pm$  SEM.

**Table 2**

Plasma Cholesterol Concentration and Liver Cholesterol and Triglyceride Concentrations in Male Sprague-Dawley Rats Fed Casein-, Isoflavone-poor Soy Protein-, or Isoflavone-rich Soy Protein-based Diets for 42 Days<sup>1</sup>

Diet group <sup>2</sup>	n	Plasma total cholesterol <sup>3</sup>	Liver weight <sup>4</sup>	Unesterified cholesterol	Cholesteryl esters <sup>5</sup>	Total cholesterol	Total triglycerides <sup>3,6</sup>
		mmol/L	g/100 g body wt	----- $\mu$ mol/g liver-----			
C	10	2.70	2.99	4.50 <sup>b</sup>	2.60	7.10	22.94
LS	10	2.66	2.78	4.63 <sup>b</sup>	2.39	7.02	19.08
HS	10	2.54	2.82	4.98 <sup>a</sup>	1.99	6.97	16.82
Pooled SEM		0.05	0.04	0.07	0.13	0.12	1.36

<sup>1</sup> Values are diet group means. Values in a column not sharing a common superscript are significantly different (one-way ANOVA, Fisher's LSD test,  $P \leq 0.05$ ).

<sup>2</sup> Diet groups: C, control; LS, low-isoflavone soy protein; HS, high-isoflavone soy protein

<sup>3</sup> Values are for platelet-rich plasma.

<sup>4</sup> Liver weight (g/100g body wt) tended to be lower in the LS and HS groups than in the C group ( $P \leq 0.1$ ).

<sup>5</sup> Liver cholesteryl ester and triglyceride concentrations tended to be lower in the HS group than in the C group ( $P \leq 0.1$ ).

<sup>6</sup> Total triglycerides as triolein (MW 885.4).

**Results.** Initial and final body weights averaged 125 g and 341 g, respectively, independent of diet group. This corresponded to a weight gain of 5.1 g/d. Energy intake was lower in rats fed the LS diet (310 kJ/d) and the HS diet (324 kJ/d) than in rats fed the C diet (352 kJ/d), and the energy efficiency ratio increased from 14.5 g/MJ (C diet) to 16.6 g/MJ (LS diet) and 16.0 g/MJ (HS diet).

Relative liver weight (Table 2) tended to be lower ( $P \leq 0.1$ ) in the LS and HS diet groups (2.8 g/100 g body wt) than in the C diet group (3.0 g/100 g body wt). There was a trend towards a 23% reduction in liver cholesteryl ester and a 27% reduction in liver total triglycerides from control (C diet) values by the HS diet ( $P \leq 0.1$ ). Liver unesterified cholesterol was increased ( $P \leq 0.05$ ) from 4.5  $\mu$ mol/g liver (C diet) and 4.6  $\mu$ mol/g liver (LS diet) to 5.0  $\mu$ mol/g liver in rats fed isoflavone-rich soy protein (HS diet). Plasma total cholesterol concentration was not significantly affected by diet group.



-23-

Platelet protein concentration and the initial platelet 5HT (serotonin) concentration averaged 211  $\mu\text{g}/\text{sample}$  and 3.1  $\text{ng}/\mu\text{g}$  protein, respectively, independent of diet group. Unstimulated 5HT secretion (Fig. 4A) was lowered by both soy protein diets from 4.7% (C diet) to 3.0%. Thrombin-stimulated 5HT secretion (Fig. 4B) was reduced from 59.7% (C diet) to 52.2% (HS diet); whereas, the LS diet tended to produce an intermediate reduction ( $P = 0.1$ ) to 55.9%.

### *Example III*

#### *Experimental Effects of Soy Isoflavones on Hepatic Lipids and Blood Platelet Activation Sensitivity in Male Sprague-Dawley Rats Fed Control or Atherogenic Diets*

**Animals, diets, and analytical procedures.** Male Sprague-Dawley rats (8 wk old,  $n = 10$  per diet group, from Harlan Sprague-Dawley, Inc., Indianapolis, IN) were fed either a control (C) diet ( $n = 20$ ) or an atherogenic (A) diet ( $n = 30$ ) for 63 d. Diet compositions are given in Table 3. One-half of the rats administered the control diet (C+I diet) and one-third of the rats administered the atherogenic diet (A+I diet) were fed the control or atherogenic diet supplemented with a soy isoflavones extract that provided 98.3 mg total isoflavones per 100 g diet. Additionally, one-third of the rats administered the atherogenic diet (A+HS diet) were fed the atherogenic diet with casein replaced by high-isoflavone soy protein isolate (57.8 mg total isoflavones per 100 g diet). The individual isoflavone concentrations as genistein-, daidzein-, and glycitein-containing compounds (aglycones + glycosides + glycoside esters) were 53.5, 41.1, and 3.7 mg/100 g diet (C+I and A+I diets) and 37.0, 17.9, and 2.9 mg/100 g diet (A+HS diet). The basal diet (C diet) is a modified AIN-76 semipurified diet for laboratory rodents. For the basal diet, sucrose was decreased from 500 g/kg diet (AIN-76 diet) to 200 g/kg diet, and cornstarch was increased from 150 g/kg diet

(AIN-76 diet) to 450 g/kg diet. The net protein content of all five diets was 174 g/kg diet. The control diet was converted to an atherogenic diet by increasing the sucrose content from 200 to 400 g/kg diet, reducing the cornstarch content from 450 to 145 g/kg diet, reducing the corn oil content from 50 to 20 g/kg diet, and by adding coconut oil (70 g/kg diet), lard (50 g/kg diet), cholesterol (12 g/kg diet), and cholic acid (2 g/kg diet). Analytical procedures for determination of liver cholesterol and triglyceride concentrations, plasma total cholesterol concentration, and platelet activation sensitivity were as described in Example I.

**Statistical analysis.** Body weights, weight gain, energy intake, and energy efficiency ratio were analyzed with a two-way ANOVA for the main and interaction effects of type of diet (C and C+I diets vs. A, A+I, and A+HS diets) and the presence of isoflavones in the diet (C and A diets vs. C+I, A+I, and A+HS diets). Liver cholesterol and triglyceride and plasma cholesterol concentrations (Table 4) were similarly analyzed. Additionally, a one-way ANOVA was applied to the liver lipid and plasma cholesterol data to distinguish individual treatment effects among the three atherogenic diets. Platelet data was analyzed for the main and interaction effects of type of diet and the presence of isoflavones in the diet as described above, using either two-way ANOVA (for platelet protein and initial platelet 5HT) or two-way ANCOVA with platelet protein as a covariate (for unstimulated 5HT secretion and thrombin-stimulated 5HT secretion). Results provided in Figure 5 are given as individual diet group least-squares means  $\pm$  EM.

**Table 3**  
**Diet Composition<sup>1</sup>**

Ingredient	Diet Groups				
	Control (C)	Control + soy isoflavones (C+I)	Atherogenic (A)	Atherogenic + soy isoflavones (A+I)	Atherogenic + soy protein (A + HS)
	<i>g/kg diet</i>				
Casein <sup>2</sup>	200	200	200	200	
Soy Protein <sup>3</sup>					200
Cornstarch	450	450	145	145	145
Sucrose	200	200	400	400	400
Corn oil	50	50	20	20	20
Coconut oil			70	70	70
Lard			50	50	50
Cellulose	50	50	50	50	50
Vitamin mix <sup>4</sup>	10	10	10	10	10
Mineral mix <sup>4</sup>	35	35	35	35	35
Choline Chloride	2	2	2	2	2
DL-Methionine	3	3	3	3	3
$\alpha$ -Tocopherol	1.2	1.2	1.2	1.2	1.2
Cholesterol			12	12	12
Cholic acid			2	2	2
Soy isoflavones <sup>5</sup>		1.2		1.2	

<sup>1</sup> Total energy content = 15.67 MJ/kg diet (C and C+I diets) and 17.31 MJ/kg diet (A, A+I, and A+HS diets). Macronutrient distribution (% metabolize energy, based on energy contents of 16.7 kJ/g protein, 37.6 kJ/g fat, and 16.7 kJ/g available carbohydrate, Wisker and Feldheim 1990): C and C+I diets, protein (18.6%), carbohydrate (69.4%), fat (12.0%); A, A+I, and A+HS diets, protein (16.8%), carbohydrate (52.7%), fat (30.5%).

<sup>2</sup> Casein, purified high nitrogen, 87% protein (ICN Biomedicals, Costa Mesa, CA).

<sup>3</sup> Soy protein isolate (Protein Technologies International, St. Louis, MO). High-isoflavone soy protein (HS diet, Product FXP-H-0086), 87% protein, 4.8% fat, 4.2% ash; total isoflavones (2.89 mg/g product).

<sup>4</sup> Vitamin and mineral mixes, AIN-76 (ICN Biomedicals, Costa Mesa, CA).

<sup>5</sup> Soy Isoflavones (Archer Daniels Midland, Decatur, IL). Total isoflavones (833 mg/g product).

**Results.** Initial and final body weights averaged 183 g and 420 g, respectively, independent of the type of diet or the presence of isoflavones. This corresponded to an average weight gain of 3.8 g/d. Despite similar growth rates for each of the five treatment groups, energy intake was lowered ( $P \leq 0.001$ ) from an average of 355 kJ/d in rats fed control diets (C and C+I diets) to 302 kJ/d in rats fed atherogenic diets (A, A+I, and A+HS diets). Furthermore, energy intake was increased ( $P \leq 0.002$ ) from an average of 315 kJ/d in rats fed diets not containing isoflavones (C and A diets) to 329 kJ/d in rats fed diets containing isoflavones (C+I, A+I, and A+HS diets).

Correspondingly, the energy efficiency ratio was increased ( $P \leq 0.001$ ) from an average of 10.4 g/MJ in rats fed control diets to 12.7 g/MJ in rats fed atherogenic diets, and decreased ( $P \leq 0.001$ ) from an average of 12.3 g/MJ in rats fed diets without isoflavones to 11.5 g/MJ in rats fed diets with isoflavones.

Liver weight (Table 4) was increased ( $P \leq 0.001$ ) from an average of 2.6 g/100 g body wt in rats fed control diets (C and C+I diets) to 4.4 g/100 g body wt in rats fed atherogenic diets (A, A+I, and A+HS diets). Among the atherogenic diet groups, liver weight was significantly higher ( $P \leq 0.01$ ) in rats fed the A+I diet (4.7 g/100 g body wt) than in rats fed the A and A+HS diets (4.3 and 4.2 g/100 g body wt, respectively). Liver unesterified cholesterol was increased from an average of 4.4  $\mu\text{mol/g}$  liver and liver cholesteryl ester was increased from an average of 1.7  $\mu\text{mol/g}$  liver in rats fed control diets to 9.3  $\mu\text{mol/g}$  liver and 104.8  $\mu\text{mol/g}$  liver, respectively, in rats fed atherogenic diets ( $P \leq 0.001$ ). Liver total triglycerides were also increased from an average of 16.0  $\mu\text{mol/g}$  liver to 46.8  $\mu\text{mol/g}$  liver by the atherogenic diet ( $P \leq 0.001$ ). Among the atherogenic diet groups, liver unesterified cholesterol was significantly higher ( $P \leq 0.01$ ) and liver triglycerides were significantly lower ( $P \leq 0.001$ ) in rats fed atherogenic diets with isoflavones (A+I and A+HS diets) than in rats fed the atherogenic diet without isoflavones (A diet). Plasma total cholesterol concentration was increased ( $P \leq 0.001$ ) from an average of 2.6 mmol/L in rats fed control diets to

4.7 mmol/L in rats fed atherogenic diets and was higher ( $P \leq 0.05$ ) in rats fed the A+I diet (5.1 mmol/L) than in rats fed the A+HS diet (4.4 mmol/L).

**Table 4**  
**Plasma Cholesterol Concentration and Liver Cholesterol and Triglyceride Concentrations in Male Sprague-Dawley Rats Fed Control or Atherogenic Diets with or without Soy Isoflavones for 63 Days<sup>1</sup>**

Diet Group <sup>2</sup>	n	Plasma total cholesterol <sup>3</sup>	Liver Wt.	Unesterified cholesterol	Cholesteryl esters	Total cholesterol	Total triglycerides <sup>4</sup>
		mmol/L	g/100 g body wt	----- $\mu$ mol/g liver-----			
C	10	2.56	2.57	4.33	1.55	5.88	15.73
C+I	10	2.62	2.62	4.46	1.95	6.40	17.19
A	10	4.64	4.28	8.42	97.90	106.31	59.62
A+I	10	5.08 <sup>c</sup>	4.70 <sup>d</sup>	9.87 <sup>a</sup>	106.83	116.69	40.10 <sup>f</sup>
A+HS	10	4.43	4.18	9.63 <sup>a</sup>	109.74	119.37	40.62 <sup>f</sup>
Pooled SEM		0.18	0.14	0.37	7.69	8.04	2.77
Effects	Two-way ANOVA						
	P-value <sup>5</sup>						
Diet	0.001	0.001	0.001	0.001	0.001	0.001	0.001
Soy Isoflavones	NS	NS	0.01	NS	NS	NS	0.01
Diet x soy isoflavones	NS	NS	0.04	NS	NS	NS	0.001

<sup>1</sup> Values are diet group means.  
<sup>2</sup> Diet groups: C, control; C+I, control + soy isoflavones; A, atherogenic; A+I, atherogenic + soy isoflavones; A+HS, atherogenic + high-Isoflavone soy protein.  
<sup>3</sup> Values are for platelet-rich plasma  
<sup>4</sup> Total triglycerides as triolein (MW 885.4).  
<sup>5</sup> Actual P-values for two-way ANOVA are less than or equal to values shown.  
<sup>c</sup> Significantly higher than the A+HS group (one-way ANOVA, Fisher's LSD test,  $P \leq 0.05$ ).  
<sup>d</sup> Significantly higher than the A and A+HS groups (one-way ANOVA, Fisher's LSD test,  $P \leq 0.01$ ).  
<sup>e</sup> Significantly higher than the A group (one-way ANOVA, Fisher's LSD test,  $P \leq 0.01$ ).  
<sup>f</sup> Significantly lower than the A group (one-way ANOVA, Fisher's LSD test,  $P \leq 0.001$ ).

Platelet protein concentration averaged 312  $\mu\text{g}/\text{sample}$  and was not affected by type of diet or dietary isoflavones. However, the initial platelet 5HT concentration was lowered ( $P \leq 0.001$ ) from an average of 2.4 ng/ $\mu\text{g}$  protein in rats fed control diets (C and C+I diets) to 1.9 ng/ $\mu\text{g}$  protein in rats fed atherogenic diets (A, A+I. and A+HS diets). Unstimulated 5HT secretion (Fig. 5A) was decreased ( $P \leq 0.001$ ) from an average of 6.5% to 5.2%, and thrombin-stimulated 5HT secretion (Fig. 5B) was increased ( $P \leq 0.02$ ) from an average of 50.1% to 54.4% in rats fed atherogenic diets. The presence of dietary isoflavones either as an isoflavone extract (C+I and A+I diets) or as a component of soy protein (A+HS diet) did not significantly affect unstimulated or thrombin-stimulated 5HT secretion.

***Example IV***  
***The Effect of Genistein, Daidzein and Estradiol on Liver and Metabolic Parameters in Male Sprague-Dawley Rats.***

**Animals and treatments.** Forty male Sprague-Dawley rats (5 wk old, n = 9-10 rats/treatment, from Harlan Sprague-Dawley, Inc., Indianapolis, IN) were injected with either genistein (G), daidzein (D), estradiol (E), or a vehicle control (V). Each animal in group V received a daily 0.1 cc subcutaneous injection, for six weeks, of a 10% ethanol-90% olive oil solution; the other treatments received their respective compound as a daily 0.1 cc subcutaneous injection, for six weeks, e.g., 0.1  $\mu\text{g}$  of compound/g of body weight, in a 10% ethanol-90% olive oil solution.

**Feed and weight measurements.** Animal weight and feed intake were measured weekly during the six week study, and the subsequent feed-efficiency ratio [FER (g of weight change/g of feed intake)] was determined. Following sacrifice, the abdominal fat pads, liver, and reproductive organs were removed and weighed for comparison.

**Plasma glucose and plasma total cholesterol analysis.** Collected blood was heparinized and centrifuged, plasma aliquots were then used for glucose and total cholesterol determinations, using standard glucose oxidase (Fisher Scientific, St. Louis, MO) and cholesterol kits (Fisher Scientific, St. Louis, MO).  
5 Liver cholesterol and triglyceride analysis. Analytical procedures for determination of liver total cholesterol, unesterified cholesterol, cholesteryl ester, and triglyceride concentrations were as described in Example 1.

**Liver Cu, Zn superoxide dimutase and catalase analysis.** The activity or level of antioxidant enzymes (AOE), catalase (CAT) and Cu,Zn superoxide  
10 Dimutase (SOD) in rat liver, were measured to determine the effect of administration of G, D, and E injections on free radical defense systems. A 50 mg piece of whole frozen liver was removed and sonicated in TBS plus 1% Triton X-100. The samples were then centrifuged at 13,000 X g for 25 min at 4°C, and the supernatant was collected. Total protein concentration was assayed  
15 for subsequent determinations of hepatic AOE activity or absolute level per milligram total protein. SOD activity was determined by spectrophotometry at  $\lambda=560\text{nm}$  using xanthine/xanthine oxidase as a superoxide generator and measured against a standard curve of known SOD activities (Sun *et al.* 1988, 1994). Catalase levels were determined by Slot blot, which was performed  
20 following a representative Western blot that detected no nonspecific binding (Tobin *et al.* 1979). The bands corresponding to catalase were quantified by densitometry.

**Statistical analysis.** These studies used a randomized design. All data were analyzed by one-way analysis of variance (ANOVA) and post-hoc  
25 comparisons were made with Tukey pairwise comparisons test. Significance was confirmed at  $P \leq 0.05$  (SYSTAT 7.0, SPSS INC., 1997), and all values are reported as means  $\pm$  standard error of the mean.

**Results. Feed and weight measurements:** Feed intake was significantly  
30 ( $P<.05$ ) higher in the D, G and E treated animals when compared to V treated animals (Table 5). Body, testis, and prostate weight were markedly ( $P<.05$ )



lower in the E treated animals when compared to D, G, and V treated animals. Consequently, FER was lower ( $P<.05$ ) in D, G, and E treated animals when compared to V, and the E treated animals had notably ( $P<.05$ ) lower FER vs D and G treatments. Intraabdominal fat pad weights were significantly ( $P<.05$ ) lower in the E group; although, this effect was lost when corrected for body weight.

**Table 5**

*Feed Intake, Body Weight, Visceral Fat Weight, Testis Weight and Prostate Weight in Male Sprague-Dawley Rats Injected with Control, Genistein, Daidzein, or Estradiol for 6 Weeks<sup>1</sup>*

Injection Group <sup>2</sup>	n	FER <sup>3</sup>	Feed Intake	Body Weight	Fat Weight	Testis Weight	Prostate Weight
-----g-----							
V	10	.327	721.30	364.60	11.86	3.19	.672
G	9	.277	855.80 <sup>a</sup>	363.00	11.19	2.90	.732
D	10	.284	857.44 <sup>a</sup>	367.89	11.66	2.96	.671
E	10	.137	852.10 <sup>a</sup>	242.50 <sup>a,b,c</sup>	6.71 <sup>a,b,c</sup>	1.44 <sup>a,b,c</sup>	.291 <sup>a,b,c</sup>

<sup>1</sup> Values are means of each injection group.

<sup>2</sup> Injection groups; V, vehicle control; G, genistein; E, estradiol; D, daidzein.

<sup>3</sup> FER = g of weight change/g of food intake.

<sup>a</sup> significantly different ( $P<.05$ ) vs V

<sup>b</sup> significantly different ( $P<.05$ ) vs G

<sup>c</sup> significantly different ( $P<.05$ ) vs D

the V group (Figure 6). There was a non-significant trend ( $P<0.5$ ) toward lower plasma cholesterol levels in the G treated animals vs the V group (Figure 6). Additionally, there was a animals vs the V non-significant trend ( $P<0.1$ ) toward lower blood glucose levels in the E treated group (Figure 7).

*Liver weight and lipid evaluations:* Liver weights were considerably ( $P<.05$ ) lower in the D, G, and E treated animals vs the V group (Table 6). Surprisingly, when liver weight as a % of body weight was evaluated, the E treated animals had similar values to the V treated animals. whereas, the D and

G groups still demonstrated a reduction in relative liver mass. Liver total cholesterol was significantly ( $P<.05$ ) higher in the E treated rats when compared to the V, G, and D animals. Liver cholesteryl esters were significantly ( $P<.05$ ) higher in the E treated rats when compared to the V and G treatments. Liver triglyceride concentrations were significantly ( $P<.05$ ) lower in the D treatment when compared to the E treatment.

**Table 6**

*Liver Cholesterol and Triglyceride Concentrations in Male Sprague-Dawley Rats Injected with Vehicle Control, Genistein, Daidzein, or Estradiol for 6 Weeks<sup>1</sup>*

Injection Group <sup>2</sup>	n	Liver weight	Unesterified Cholesterol	Cholesteryl esters	Total Cholesterol	Total Triglycerides <sup>3</sup>
		g	$\mu\text{mol/g liver}$			
V	10	14.179	2.155	2.812	4.986	24.943
G	9	12.970 <sup>a</sup>	2.600	2.589	5.189	29.528
D	10	12.857 <sup>a</sup>	2.563	3.114	5.678	17.980
E	10	10.487 <sup>a,b,c</sup>	2.618	4.104 <sup>a,b</sup>	6.722 <sup>a,b,c</sup>	32.186 <sup>c</sup>

<sup>1</sup> Values are means of each injection group.

<sup>2</sup> Injection groups: V, vehicle control; G, genistein; E, estradiol; D, daidzein.

<sup>3</sup> Total triglycerides as triolein (MW 885.4).

<sup>a</sup> significantly different ( $P<.05$ ) vs V

<sup>b</sup> significantly different ( $P<.05$ ) vs G

<sup>c</sup> significantly different ( $P<.05$ ) vs D

*Liver free radical scavenger evaluations:* Subcutaneous injection of estrogenic compounds (i.e., G, D, and E) had a varied effect on the status of antioxidant defense systems tested in these rat livers. The level of catalase did not appear to be effected by treatment with any of these compounds. Means were similar for each group, and differences among them varied by less than 10% (Figure 8). In contrast, all three treatment groups had significantly higher levels of SOD activity than matched vehicle controls (Figure 8). Genistein which affected SOD the least of the three treatment groups, raised the activity of this enzyme by 15% ( $P<.05$ ). By comparison, daidzein and estradiol, which were of equal

effectiveness in these trials, elevated SOD activity over that of controls, both by approximately 33% ( $P<.05$ ). In addition to the 'increases in activity over V rat livers, E and D treatments' elevation of SOD activity was significantly higher than that seen with G treatment ( $P<.05$ ).

5

*Example V*  
***The Effects of Soy Protein and Soy Isoflavones on Symptoms  
Associated with Cardiovascular Disease in Rats***

10

**Animals and diets.** Thirty male and thirty female Sprague-Dawley rats were randomly assigned to one of three treatment groups: High-Isoflavone (2.39 mg/g protein) Soy Protein (HS); Low Isoflavone (0.11 mg/g protein) Soy Protein (LS)-, or Non-Soy (Casein) Protein (C). All other micro/macro nutrients were held constant in the diets. Following sacrifice, blood was collected for wing insulin and glucose measurements and the abdominal fat pads and reproductive organs were removed and weighed.

15

**Feed and weight measurements.** Animal weight and feed intake were measured weekly during the six week study and the subsequent feed-efficiency ratio [FER (g of weight change/g of feed intake)] was determined. Following sacrifice, the abdominal fat pads, liver, and reproductive organs were removed and weighed for comparison.

20

**Plasma glucose, cholesterol, and insulin analysis.** Collected blood was heparinized and centrifuged, and plasma aliquots were then used for glucose, total cholesterol, and insulin determinations, using standard glucose oxidase (Fisher Scientific, St. Louis, MO), cholesterol kits (Fisher Scientific, St. Louis, MO), and insulin RIA kits.

25

**Statistical analysis.** These studies used a randomized design. All data were analyzed by one-way analysis of variance (ANOVA) and post-hoc comparisons were made with Tukey pairwise comparisons test. Significance was

confined at  $P < 0.05$  (SYSTAT 7.0, SPSS INC., 1997), and all values are reported as means  $\pm$  standard error of the mean.

**Results.** In the male rats fed LS and HS, there was a marked ( $P < 0.05$ ) reduction in body and intra-abdominal fat weight when compared to C. There was no difference in gonadal weight between any of the male groups. The male HS rats had a reduction in FER, insulin, and insulin to glucose ratios (I/G) ratio (Figure 9) vs. C. In addition, the male HS rats also demonstrated a non-significant trend ( $P < 0.1$ ) toward a reduction in plasma total cholesterol when compared to the C animals. The gross physiological and metabolic effects in the male rats were not manifested in the female rats. Moreover, the female LS rats had an increase ( $P < 0.05$ ) in Intraabdominal fat vs. C and the female HS rats had an increase ( $P < 0.05$ ) in plasma glucose vs. C.

### ***Example VI***

#### ***High Isoflavone Soy Protein Ameliorates Impaired Glucose Tolerance and Fatty Liver in Female Zucker Obese Rats***

**Materials and Methods.** Female obese Zucker rats were assigned to one of three diet groups: High -Isoflavone Soy Protein (HIS); Low -Isoflavone Soy Protein (LIS); or Non -Soy (Casein) Protein (C) diets. During the 10-week study, body weight, feed intake and feed efficiency ratio (FER) were assessed. Additionally, a Glucose Tolerance Test was performed and plasma, abdominal fat pads, liver and reproductive organs were collected, weighed and frozen for subsequent analysis.

**Results.** The High -Isoflavone Soy Protein (HIS) diet significantly ( $P < 0.05$ ) improved glucose tolerance (Figure 10), fatty liver (i.e. decreased liver weight, liver cholesterol, and liver triglycerides) (Figures 11-13), and plasma cholesterol (Figure 14) relative to the Low -Isoflavone Soy Protein (LIS) and Non -Soy (Casein) Protein (C) diets.

It will be clear that the invention can be practiced otherwise than as particularly described in the foregoing description and examples.

5           Numerous modifications and variations of the present invention are possible in light of the above teachings and, therefore, are within the scope of the appended claims.

10           The entire disclosure of all publications (including patents, patent applications, journal articles, laboratory manuals, books, or other documents) cited herein are hereby incorporated by reference.

***What Is Claimed Is:***

1. A method for treating or reducing the predisposition to a condition selected from the group consisting of hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, said method comprising administering to a subject having said condition or predisposed to said condition a therapeutically effective amount of at least one isoflavonoid.

2. The method of claim 1 wherein said isoflavonoid is derived from soy bean.

3. The method of claim 1 wherein said isoflavonoid is contained in an isoflavone-enriched fraction of soy protein.

4. The method of claim 1 wherein said isoflavonoid is at least one of genistein, genistin, 6"-0-malonylgenistin, 6"-0-acetylgenistin; daidzein, daidzin, 6"-0-malonyldaidzin, 6"-0-acetylgenistin; glycitein, glycitin, 6"-0-malonylglycitin, 6"-0-acetylglycitin, Biochanin A, or formononetin containing compounds.

5. The method of claim 1 wherein said isoflavonoid is administered in combination with soy protein.

6. The method of claim 5 wherein said soy protein is soy protein isolate.

7. The method of claim 5 wherein said soy protein is soy protein concentrate.

8. The method of claim 3 wherein said isoflavone-enriched fraction is administered in combination with soy protein.

9. The method of claim 8 wherein said soy protein is soy protein isolate.

10. The method of claim 8 wherein said soy protein is soy protein concentrate.

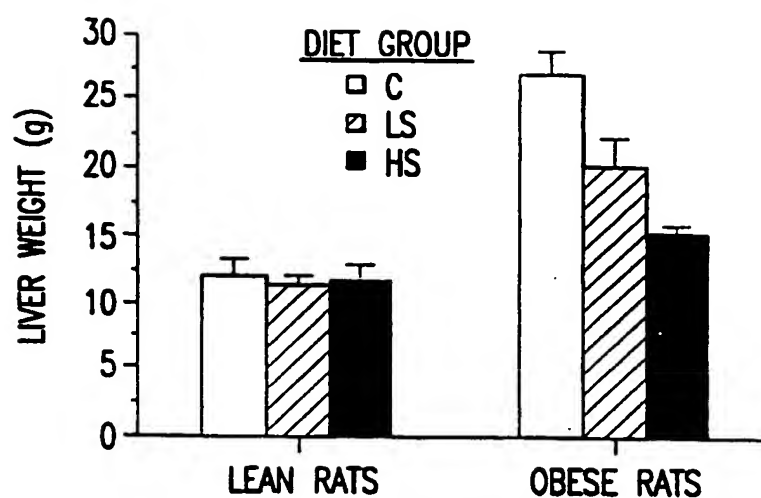
11. The method of claim 1 wherein said isoflavonoid is administered in combination with at least one dietary ingredient or supplement other than soy protein.

12. The method of claim 3 wherein said isoflavone-enriched fraction is administered in combination with at least one dietary ingredient or supplement other than soy protein.

13. The method of claim 3 wherein said isoflavone-enriched fraction comprises at least one component selected from the group consisting of: a saponin, a lecithin, a phenolic acid, a trypsin inhibitor, a phytosterol, a peptide, and an oligosaccharide.

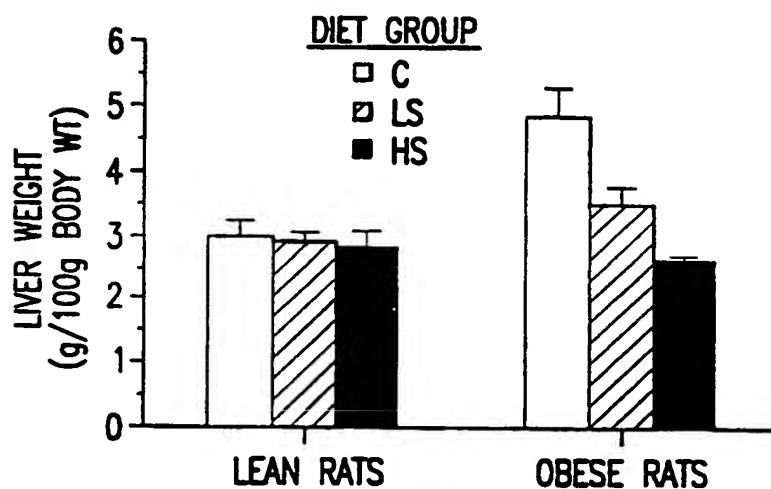
14. A method for treating or reducing the predisposition to a condition selected from the group consisting of hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, said method comprising administering to a subject having said condition or predisposed to said condition a therapeutically effective amount of at least one isoflavone-enriched fraction component selected from the group consisting of a saponin, a lecithin, a phenolic acid, a trypsin inhibitor, a phytosterol, a peptide, and an oligosaccharide.

1/14



EFFECTS: DIET,  $P=0.005$ ; PHENOTYPE,  $P<0.001$ ;  
DIET X PHENOTYPE,  $P<0.01$

FIG. 1A



EFFECTS: DIET,  $P<0.01$ ; PHENOTYPE,  $P<0.01$ ;  
DIET X PHENOTYPE,  $P=0.01$

FIG. 1B



2/14

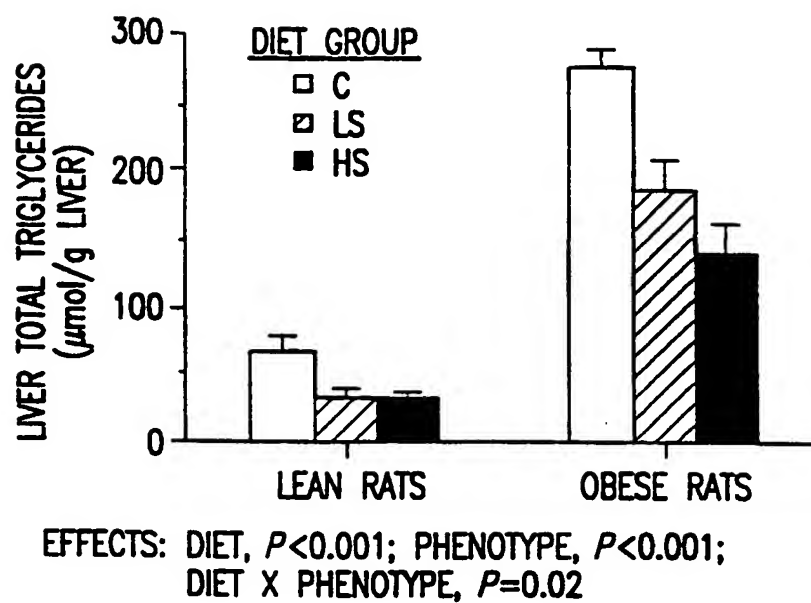


FIG. 1C

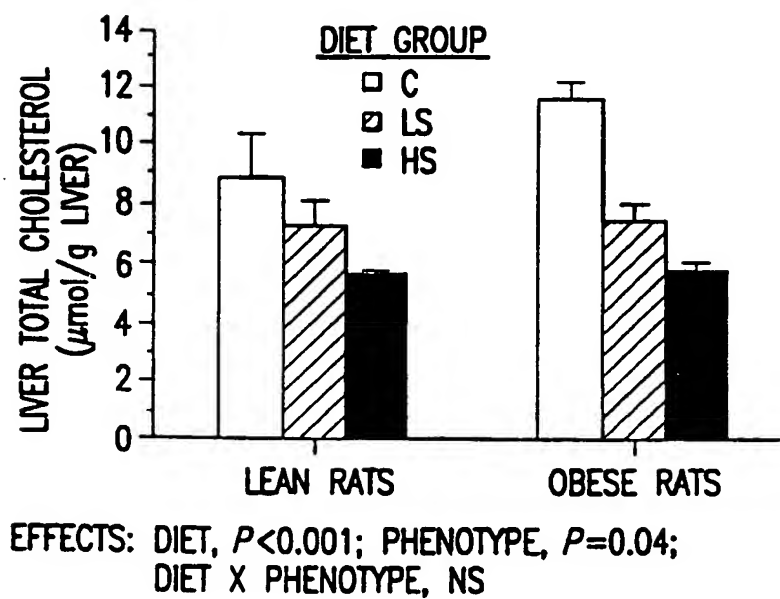


FIG. 1D

3/14

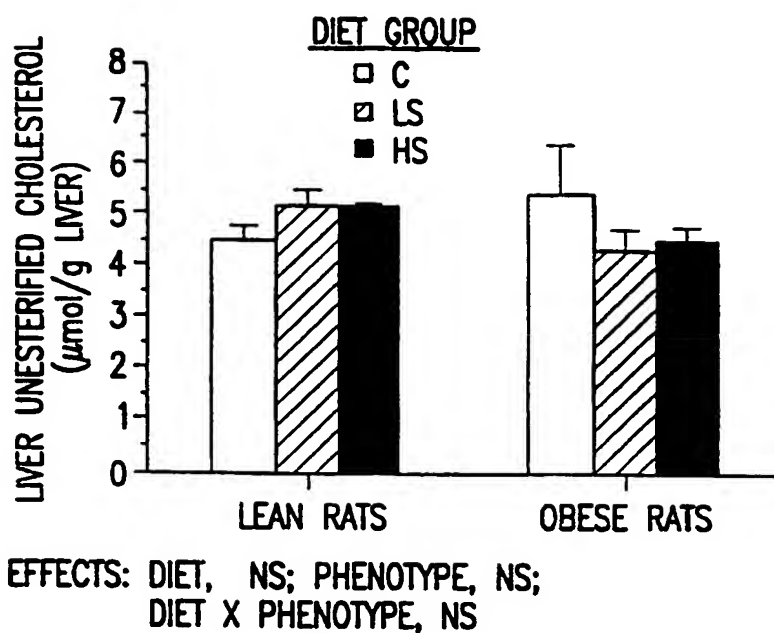


FIG. 1E

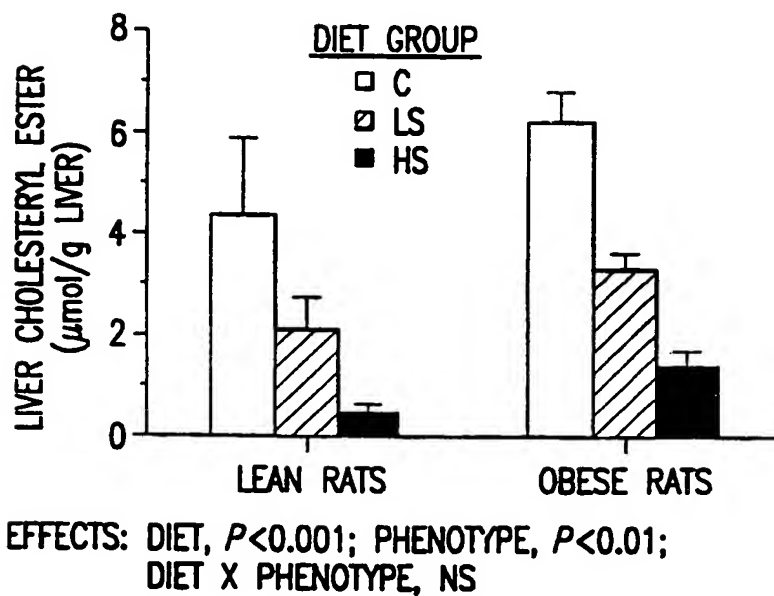
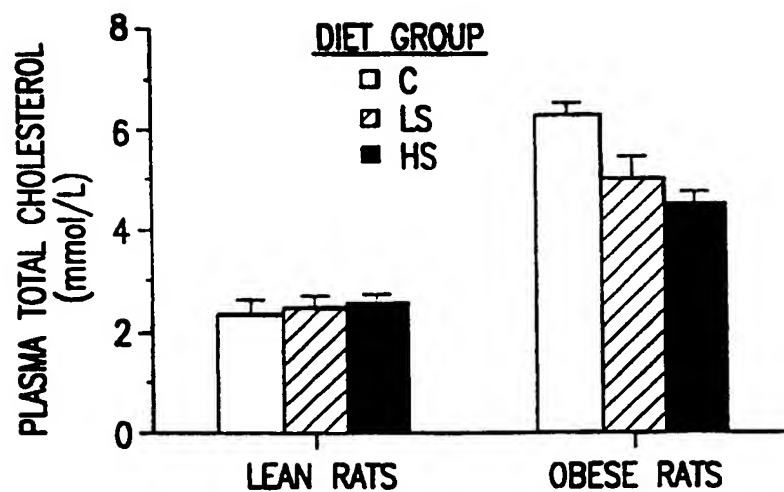


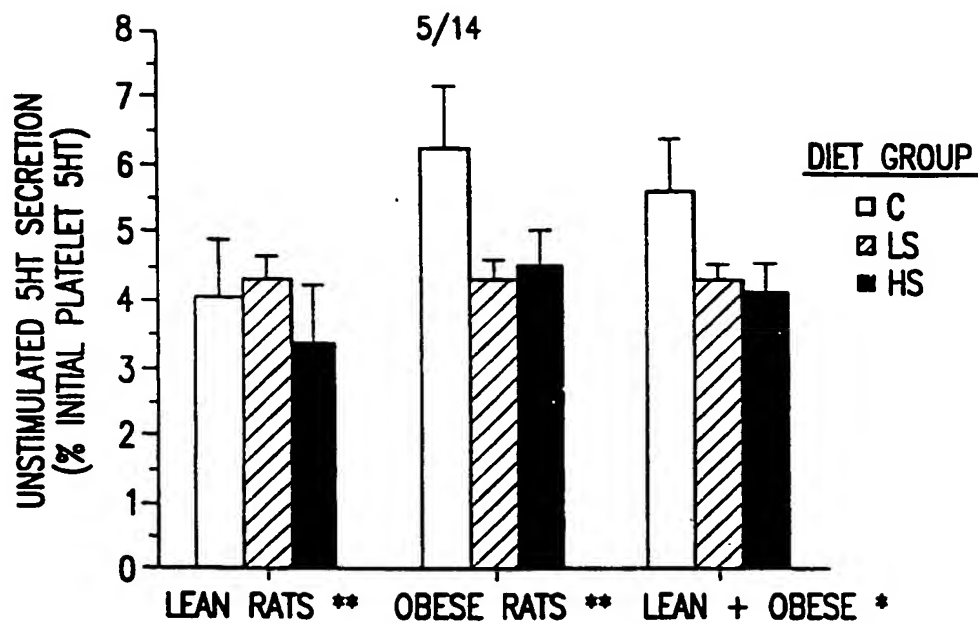
FIG. 1F

4/14



EFFECTS: DIET, NS; PHENOTYPE,  $P < 0.001$ ;  
DIET X PHENOTYPE,  $P = 0.02$

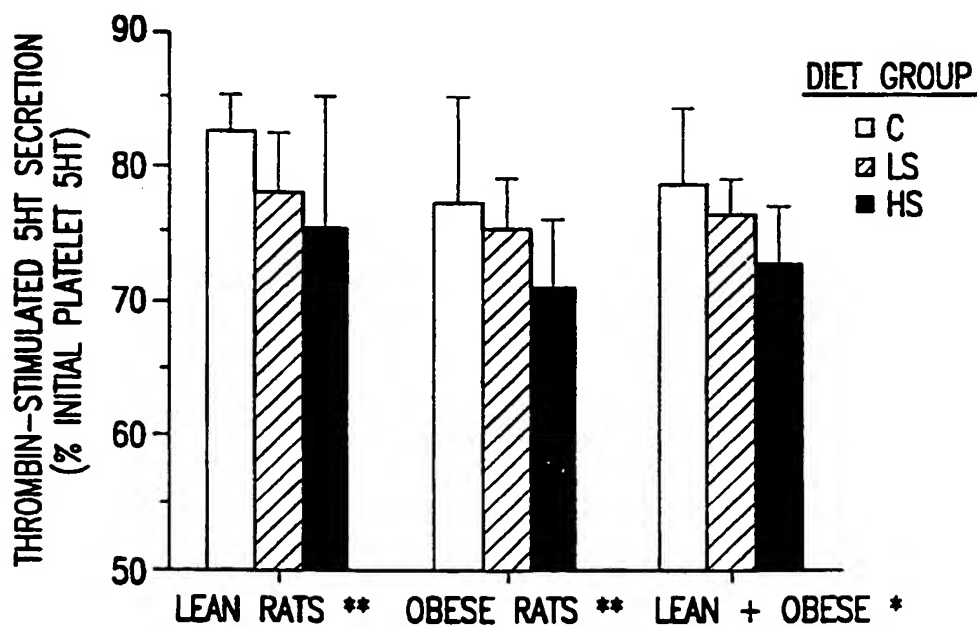
FIG.2



\*\* EFFECTS (TWO-WAY ANCOVA):  
DIET, NS; PHENOTYPE,  $P=0.1$ ;  
DIET X PHENOTYPE, NS

\* EFFECT (ONE-WAY ANCOVA):  
DIET, C vs LS,  $P=0.06$ ; C vs HS,  $P=0.04$ ;  
LS vs HS, NS

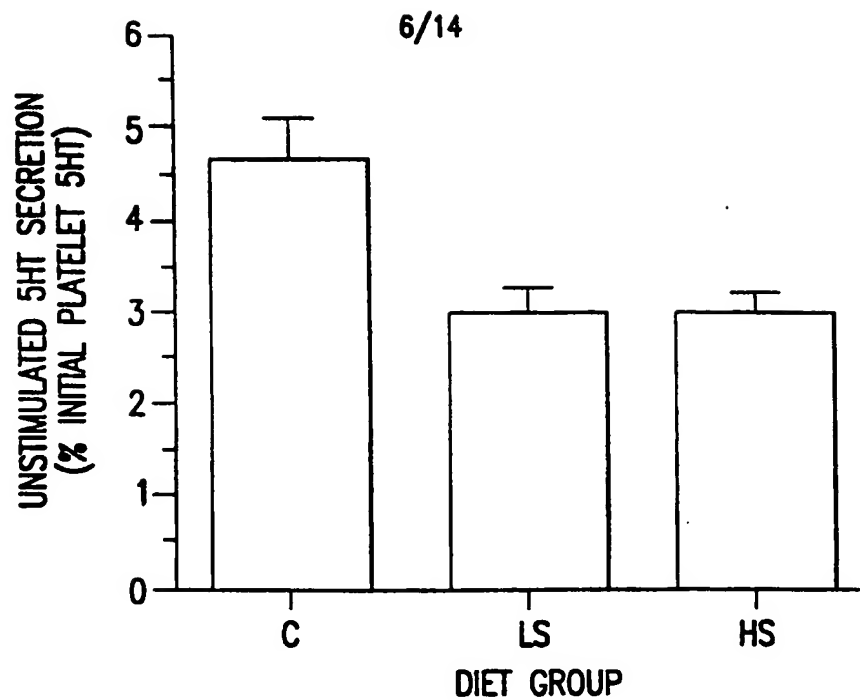
FIG. 3A



\*\* EFFECTS (TWO-WAY ANCOVA):  
DIET, NS; PHENOTYPE, NS;  
DIET X PHENOTYPE, NS

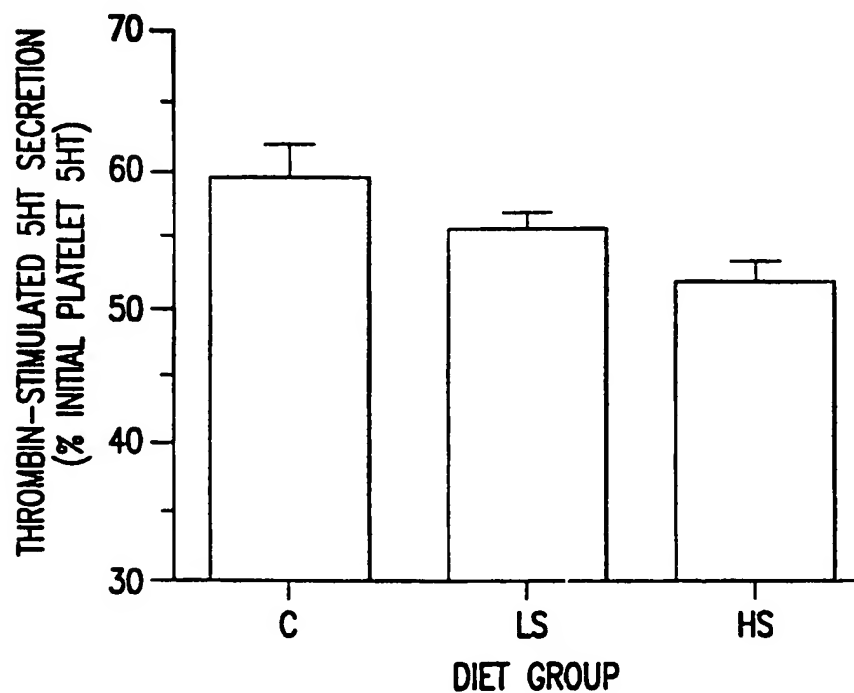
\* EFFECT (ONE-WAY ANCOVA): DIET, NS

FIG. 3B



EFFECT: DIET, C vs LS,  $P=0.002$ ;  
C vs HS,  $P=0.001$ ; LS vs HS, NS

FIG. 4A



EFFECT: DIET, C vs LS,  $P=0.1$ ;  
C vs HS,  $P=0.003$ ; LS vs HS,  $P=0.1$

FIG. 4B

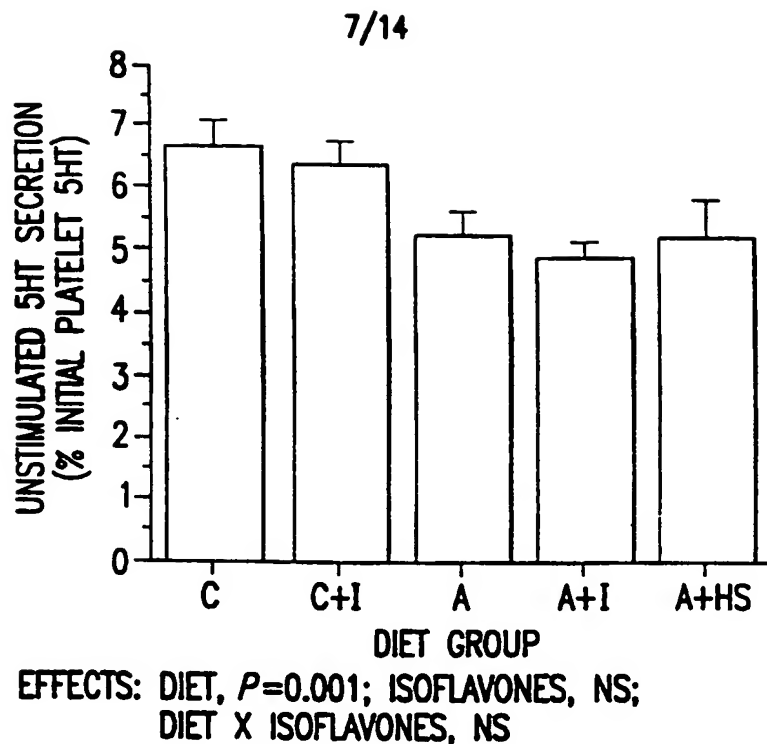


FIG. 5A

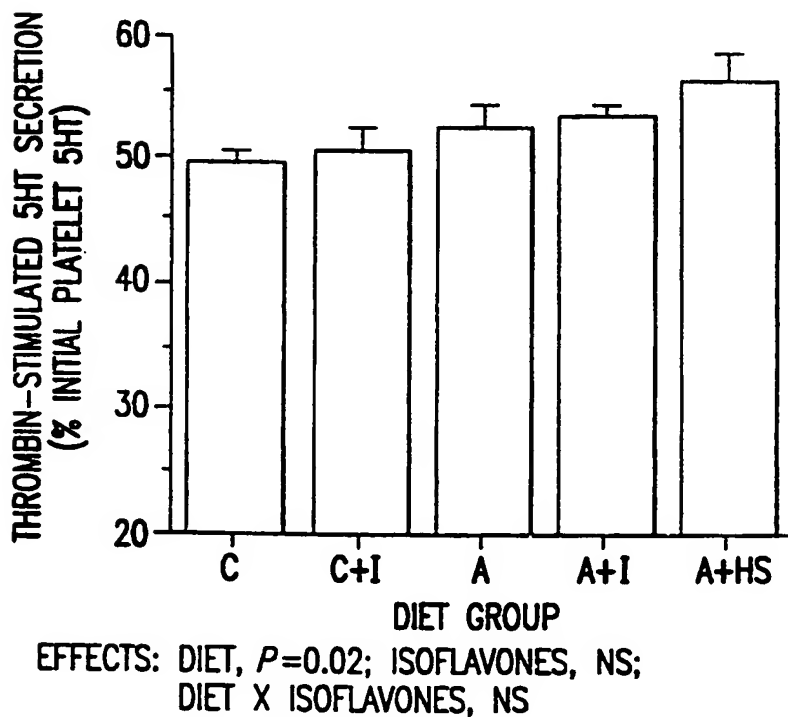
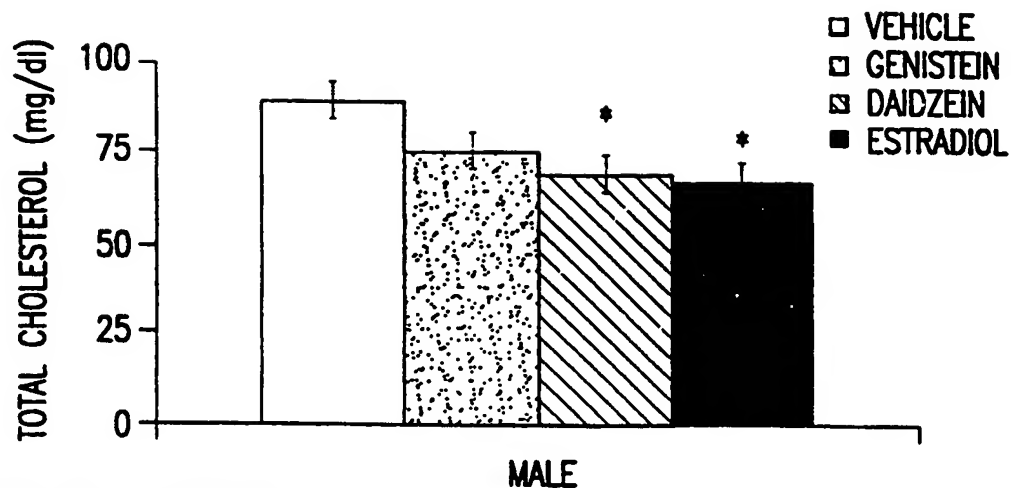


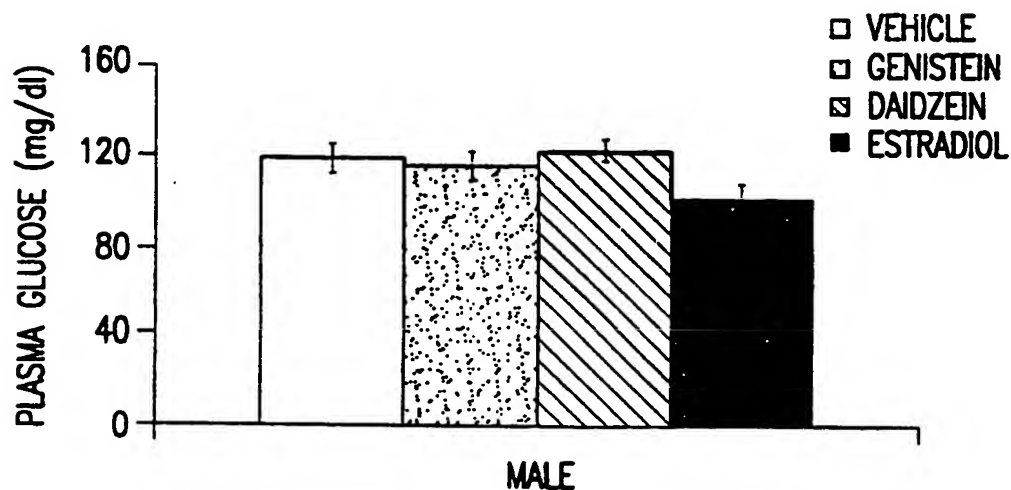
FIG. 5B

8/14



\* $P < 0.05$  vs CONTROL  
N=10 FOR EACH TREATMENT, MEAN $\pm$ STD. ERROR

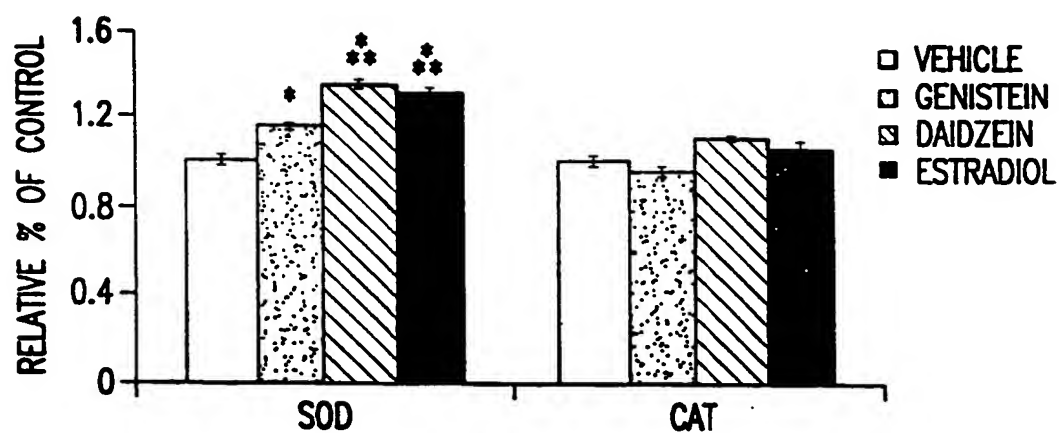
FIG.6



N=10 FOR EACH TREATMENT, MEAN $\pm$ STD. ERROR

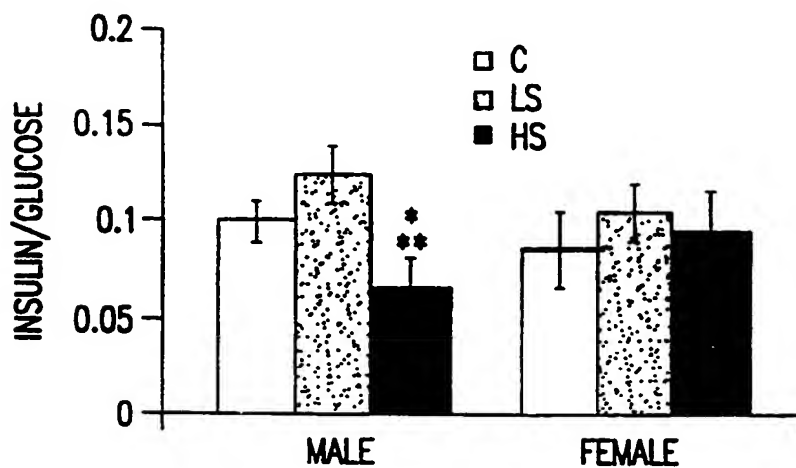
FIG.7

9/14



\* $P < 0.05$  vs CONTROL, \*\* $P < 0.05$  vs GENISTEIN  
N=10 FOR EACH TREATMENT, MEAN  $\pm$  STD. ERROR

FIG.8



\* $P < 0.05$  vs C, \*\* $P < 0.05$  vs LS  
N=10 FOR EACH TREATMENT, MEAN  $\pm$  STD. ERROR

FIG.9



10/14

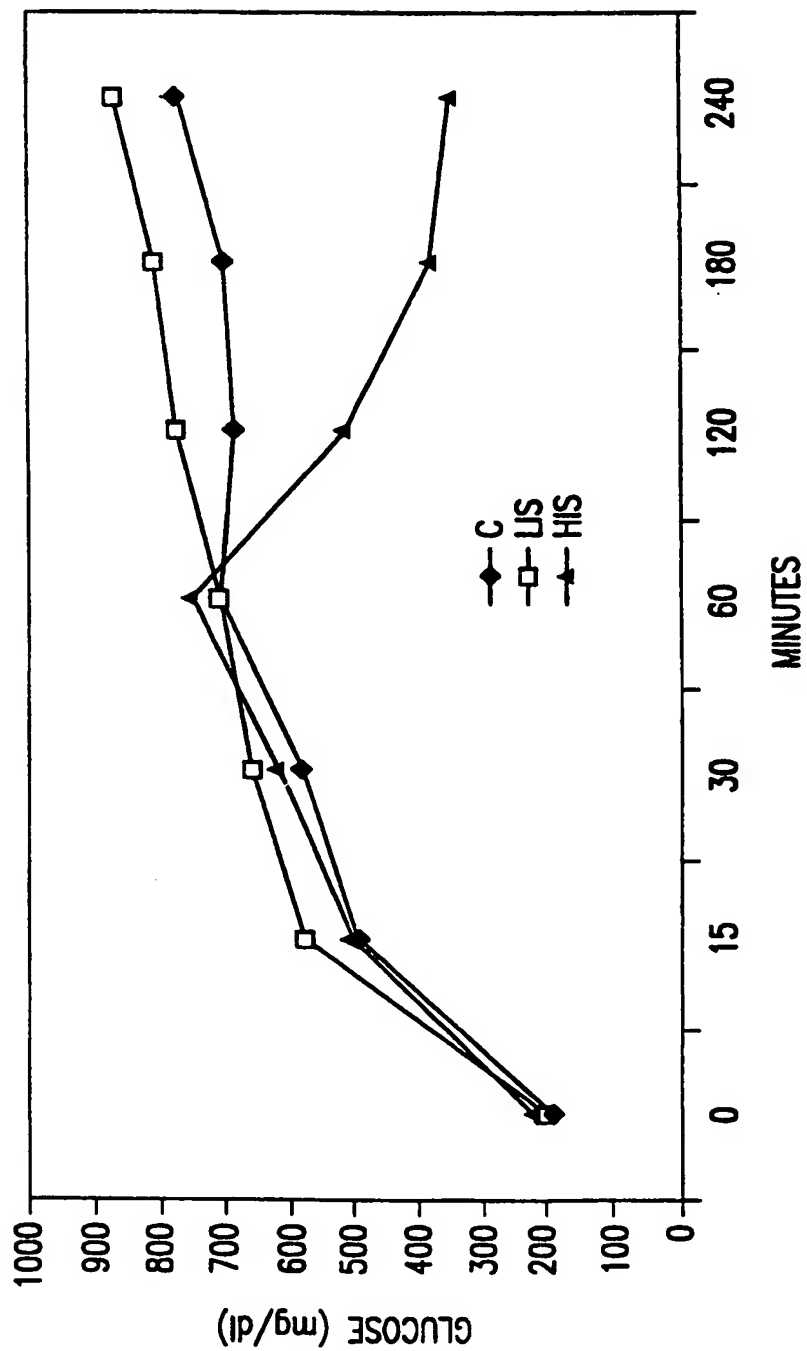


FIG.10

11/14

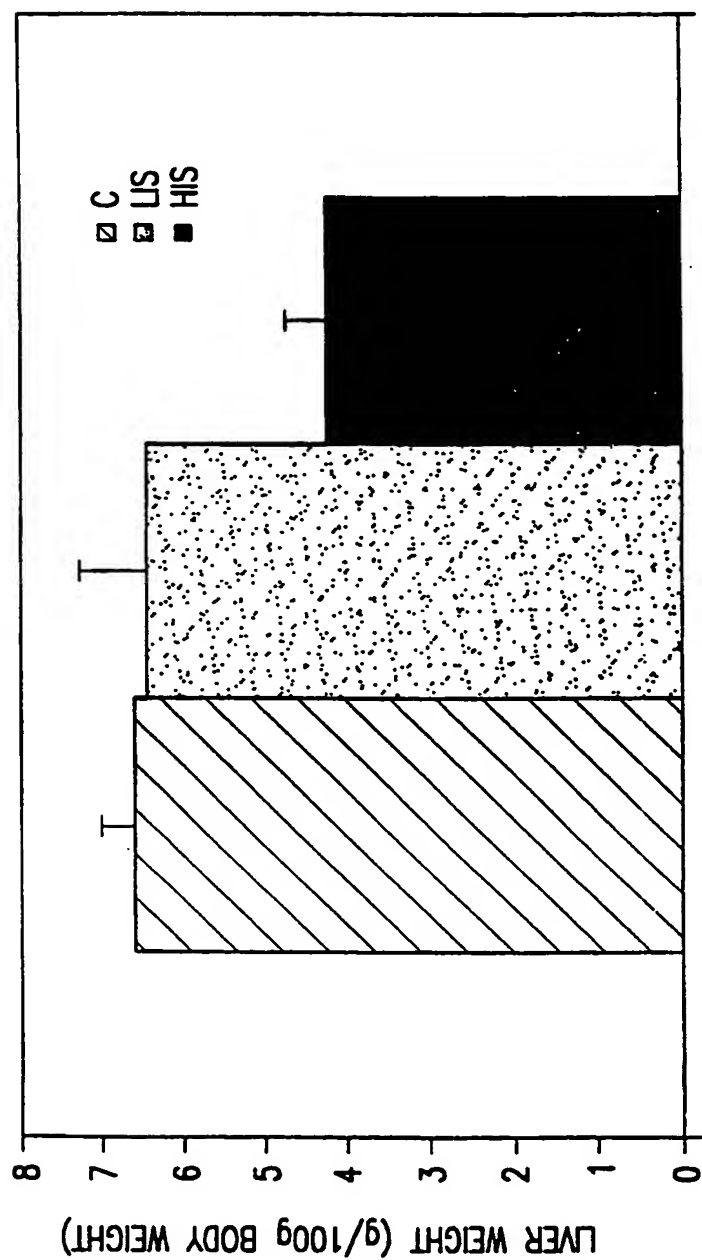


FIG. 11

12/14

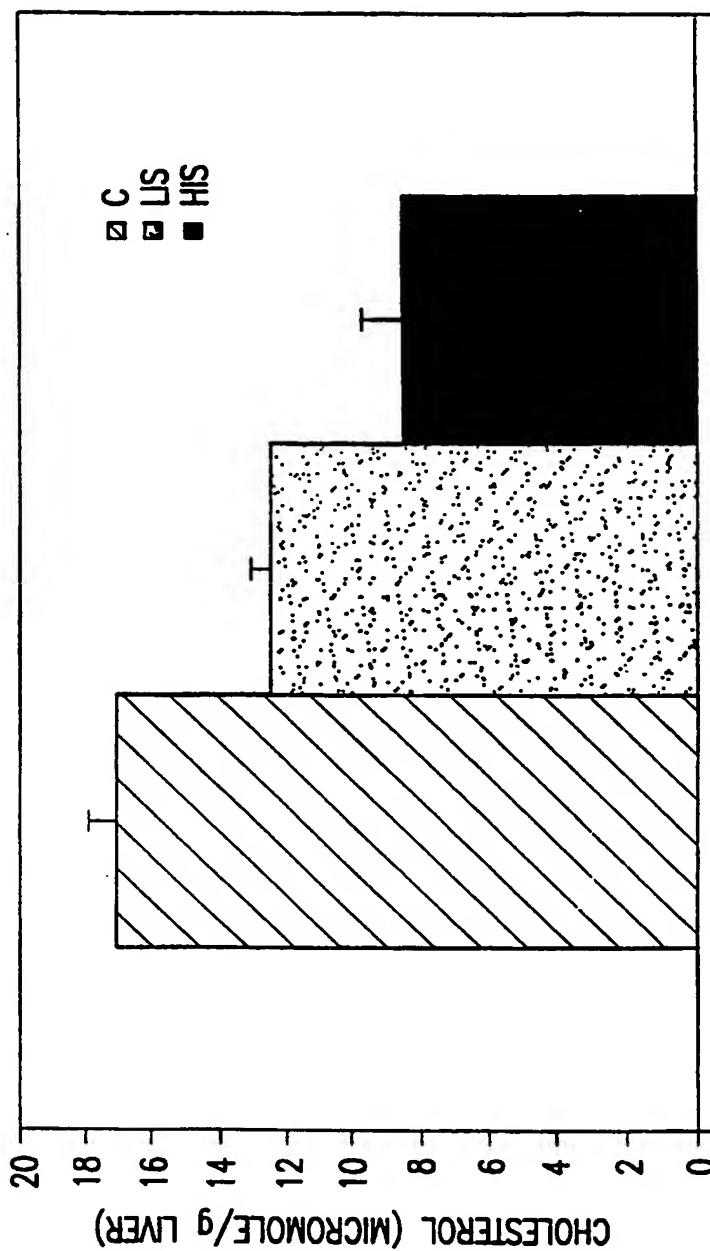


FIG. 12

13/14

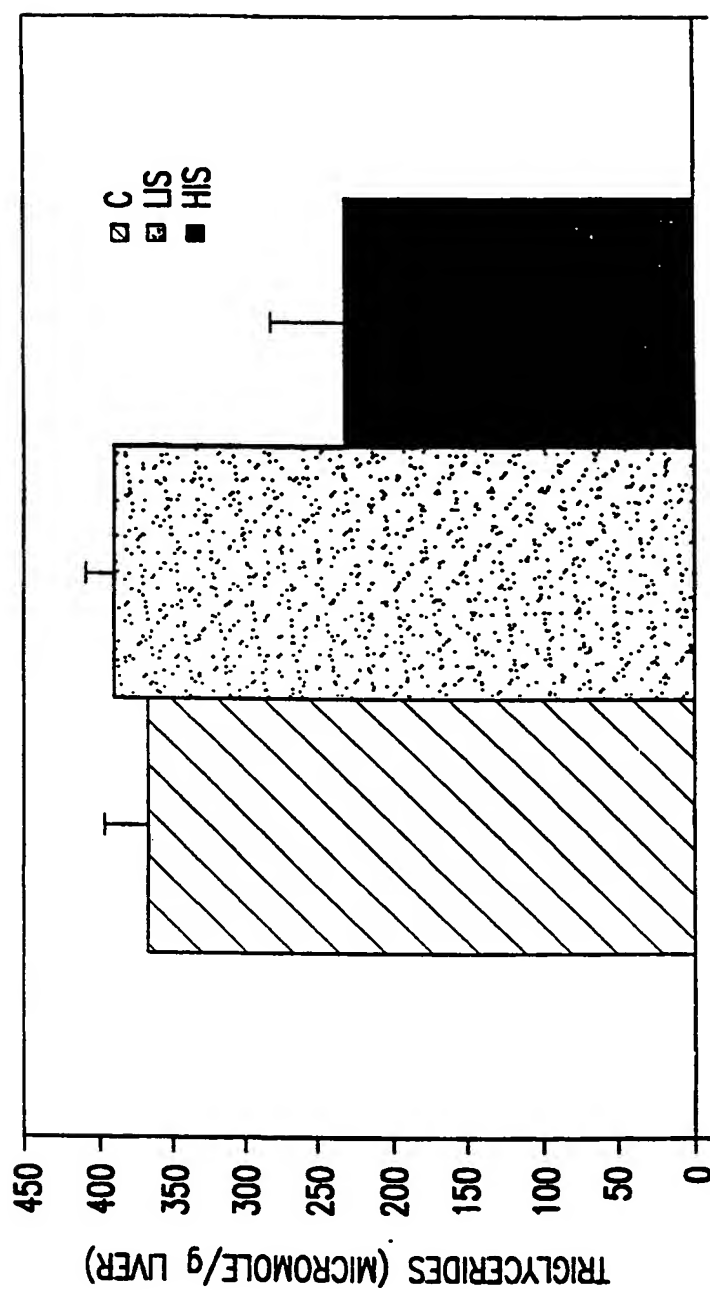


FIG. 13

14/14

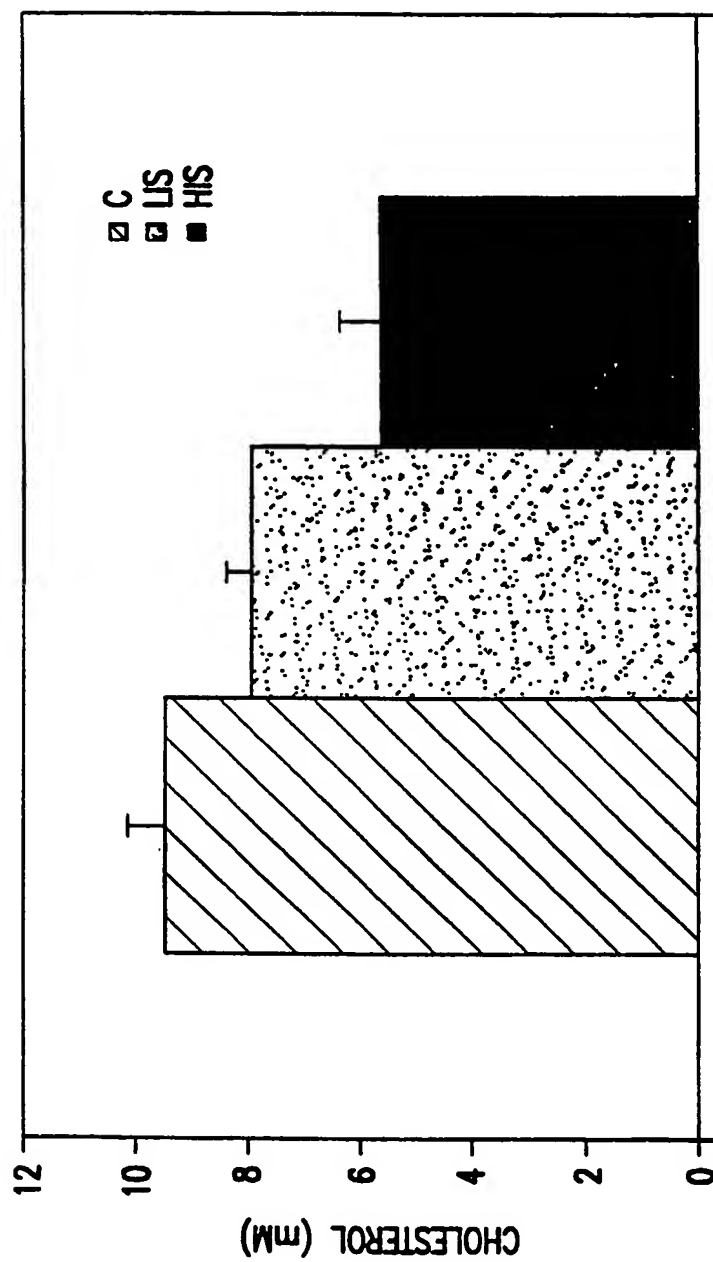


FIG. 14

# INTERNATIONAL SEARCH REPORT

Int. l. Application No

PCT/US 00/10543

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/352 A61P1/16 A61P3/06 A61P7/02 A61P9/00  
A61P5/50 A61P3/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, CHEM ABS Data, EMBASE, SCISEARCH, BIOSIS, CANCERLIT, AIDSLINE, MEDLINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>US 5 855 892 A (HENLEY EDNA C ET AL) 5 January 1999 (1999-01-05) abstract column 1, line 8 - line 17 column 2, line 42 -column 3, line 35 column 3, line 60 -column 4, line 8 column 5, line 43 - line 56 column 6, line 31 - line 43 column 8, line 1 -column 9, line 18 column 12, line 46 -column 13, line 34 claims</p> <p style="text-align: center;">--- -/--</p>	1-6,8,9, 11,12

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

21 September 2000

Date of mailing of the international search report

05/10/2000

Name and mailing address of the ISA

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Authorized officer

Cielen, E

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/10543

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO 98 03084 A (NICOLSI ROBERT J ;NUTRICOR INC (US); ORTHOEFER FRANK (US); BERLOW)  29 January 1998 (1998-01-29)  page 2, line 18 - line 24  page 5, line 7 - line 13  page 6, line 13 - line 29  page 7, line 29 -page 8, line 25  page 13, line 1 -page 14, line 11  table 1  page 15, line 12 - line 20  table 3  page 16, line 7 -page 17, line 13  table 6  page 24, line 15 - line 25  table 10</p> <p style="text-align: center;">---</p>	<p>1-5,8, 11-14</p>
X	<p>US 5 830 887 A (KELLY GRAHAM EDMUND)  3 November 1998 (1998-11-03)  abstract  column 4, line 35 - line 41  column 5, line 53 -column 6, line 29  column 7, line 19 -column 9, line 11  column 9, line 43 - line 48  column 11, line 10 - line 20  column 12, line 1 - line 16  example 2  claims</p> <p style="text-align: center;">---</p>	<p>1-4,11</p>
X	<p>PATENT ABSTRACTS OF JAPAN  vol. 1998, no. 09,  31 July 1998 (1998-07-31)  &amp; JP 10 101561 A (NORIN SUISANSYO SHIKOKU NOGYO SHIKENJO),  21 April 1998 (1998-04-21)  abstract</p> <p style="text-align: center;">---</p>	<p>1,2,4</p>
X	<p>DATABASE WPI  Week 199802,  30 September 1997 (1997-09-30)  Derwent Publications Ltd., London, GB;  AN 1998-011907  XP002147651  UESUGI TAKEHIKO, HIRAI KUNIMASA ET AL.:  "Medicine and edible composition for  lowering concentration of lipid in blood."  &amp; JP 09 255570 A (FUJITSUKO KK)  abstract</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	<p>1,4</p>

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/10543

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>BANZ W., PELUSO M., WINTERS T., SHANAHAN M.: "The effects of soy protein and isoflavones on platelet, lipid and liver measurements in zucker rats."            FASEB JOURNAL,            vol. 13, no. 5 part 2, supp. S,            15 March 1999 (1999-03-15), page A885            XP000939046            the whole document</p>	1-5,8
X	<p>WILLIAMS J KOUDY; CLARKSON THOMAS B :            "Dietary soy isoflavones inhibit in-vivo constrictor responses of coronary arteries to collagen-induced platelet activation."            CORONARY ARTERY DISEASE ,            vol. 9, no. 11, 1998, pages 759-764,            XP000937668            page 759, column 1, paragraph 1 -column 2,            paragraph 1            page 760, column 1, paragraph 1            page 760, column 1, paragraph 3 -column 2,            paragraph 1            table 1            page 762, column 1, paragraph 2 -column 2,            paragraph 1            page 763, column 1, paragraph 1            page 763, column 1, paragraph 5 -column 2,            paragraph 3</p>	1-6,8,9, 11,12
X	<p>NOGOWSKI L., MACKOWIAK P., KANDULSKA K., SZKUDELSKI T., NOWAK K.W.:            "Genistein-induced changes in lipid metabolism of ovariectomized rats."            ANNALS OF NUTRITION AND METABOLISM,            vol. 42, no. 6, November 1998 (1998-11)            - December 1998 (1998-12), pages 360-366,            XP000937662            abstract            page 361, column 1, paragraph 1 -column 2,            paragraph 2            table 1            page 363, column 1, paragraph 1 -page 365,            column 2, paragraph 2</p>	1,2,4,11

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/10543

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>ANTHONY M. S., CLARKSON T.B., WILLIAMS J.K.: "Effects of soy isoflavones on atherosclerosis: potential mechanisms" AMERICAN JOURNAL OF CLINICAL NUTRITION, vol. 68, no. 6 SUPPL, December 1998 (1998-12), pages 1390S-1393S, XP000937663 abstract page 1391S, column 1, paragraph 3 -column 2, paragraph 1 page 1392S, column 1, paragraph 2 figure 5 page 1393S, column 1, paragraph 1</p>	1-6,8,9
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P,X	<p>EP 0 943 245 A (PROTEIN TECH INT) 22 September 1999 (1999-09-22) abstract page 2, line 56 - line 57 page 3, line 12 - line 15 page 4, line 1 - line 8 page 4, line 36 -page 7, line 15 page 11, line 6 - line 33 claims</p>	1-10

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# INTERNATIONAL SEARCH REPORT

Int. Application No

PCT/US 00/10543

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	BANZ W., STEGER R., ROEDER M. ET AL.: "High isoflavone soy protein ameliorates impaired glucose tolerance and fatty liver in female Zucker obese rats." FASEB JOURNAL, vol. 14, no. 4, 15 March 2000 (2000-03-15), page A765 XP000937517 the whole document	1-14
P,X	SCHOENE NORBERTA W; GUIDRY CATHERINE A : "Dietary soy isoflavones inhibit activation of rat platelets. " JOURNAL OF NUTRITIONAL BIOCHEMISTRY , vol. 10, no. 7, July 1999 (1999-07), pages 421-426, XP000937600 abstract page 421, column 1, paragraph 1 page 421, column 2, paragraph 2 page 422, column 1, paragraph 3 page 424, column 2, paragraph 2 - paragraph 3 page 425, column 1, paragraph 2 - paragraph 3 page 425, column 2, paragraph 3	1-5,8, 11,12
E	WO 00 30663 A (HOIE LARS HENRIK ;NUTRI PHARMA AS (NO)) 2 June 2000 (2000-06-02) abstract page 1, line 5 - line 18 page 3, line 4 - line 22 page 12, line 28 - line 32 page 13, line 16 - line 28 page 15, line 20 -page 16, line 9 page 25, line 4 - line 5 page 27, line 6 - line 21 page 28, line 6 - line 34 page 33, line 17 -page 34, line 29 example 2 claims 1,2,6,10,18-20,36,37,42,48	1-14

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

## Continuation of Box I.2

Present claims 1-14 relate to a method defined by reference to the parameters "abnormal platelet function", "abnormal vascular reactivity", "an isoflavonoid". The use of these parameters in the present context is considered to lead to a lack of clarity within the meaning of Article 6 PCT. It is impossible to compare the parameters the applicant has chosen to employ with what is set out in the prior art. The lack of clarity is such as to render a meaningful complete search impossible. Consequently, the search has been restricted to the isoflavonoids specifically mentioned in claim 4, and the diseases hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, atherosclerosis (as mentioned in example III, p.23-29) and cardiovascular disease (as mentioned in example V, p.33-34), with due regard to the general idea underlying the application.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

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Information on patent family members

International Application No

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